

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
7 December 2006 (07.12.2006)

PCT

(10) International Publication Number
WO 2006/128467 A2

- (51) International Patent Classification:
C22C 12/00 (2006.01) H01L 35/18 (2006.01)
- (21) International Application Number:
PCT/DK2006/000305
- (22) International Filing Date: 31 May 2006 (31.05.2006)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
05011707.6 31 May 2005 (31.05.2005) EP
60/686,240 1 June 2005 (01.06.2005) US
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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

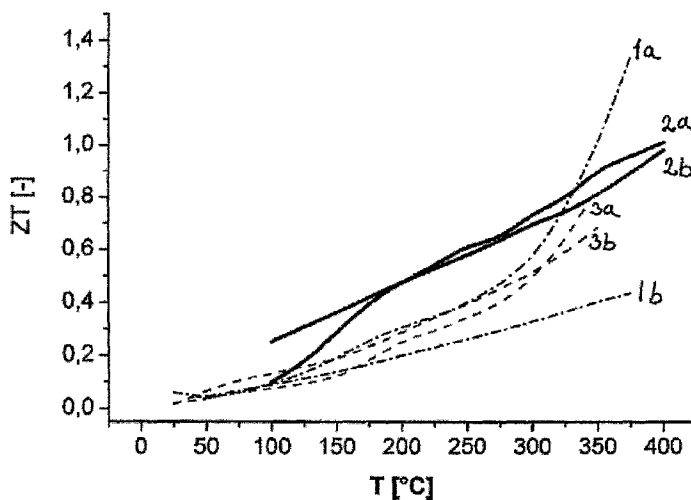
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: IMPROVED P-TYPE THERMOELECTRIC MATERIALS, A PROCESS FOR THEIR MANUFACTURE AND USES THEREOF



(57) Abstract: A thermoelectric material of the p-type having the stoichiometric formula Zn₄Sb₃, wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms is provided by a process involving zone-melting of an arrangement comprising an interphase between a "stoichiometric" material having the desired composition and a "non-stoichiometric" material having a composition deviating from the desired composition. The thermoelectric materials obtained exhibit excellent figure of merits.



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Improved p-type thermoelectric materials, a process for their manufacture and uses thereof

Technical field

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The present invention relates to thermoelectric materials of the p-type having the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Mg, Sn, Pb and the transition metals.

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Furthermore the present invention relates to processes for the manufacture of such improved thermoelectric materials, use of such thermoelectric materials for the manufacture of thermocouples, thermocouples comprising such thermoelectric materials, use of thermocouples for the manufacture of a thermoelectric device, thermoelectric devices comprising such thermocouples and uses of such devices for thermoelectric purposes.

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Background art

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Thermoelectric materials have been known for decades. By arranging a so-called p-type thermoelectric material and a so-called n-type thermoelectric material in couples, termed thermocouples, it is possible to convert heat into electric power or to create a temperature gradient by applying electric power.

25

A thermocouple accordingly comprises a p-type thermoelectric material and a n-type thermoelectric material electrically connected so as to form an electric circuit. By applying a temperature gradient to this circuit an electric current will flow in the circuit making such a thermocouple a power source.

30

Alternatively electric current may be applied to the circuit resulting in one side of the thermocouple being heated and the other side of the thermocouple being cooled. In such a set-up the circuit accordingly functions as a device which is able to create a temperature gradient by applying electrical power.

The physical principles involved in these above phenomena are the Seebeck effect and the Peltier effect respectively.

In order to evaluate the efficiency of a thermoelectric material a dimensionless coefficient is introduced. This coefficient, the figure of merit, ZT is defined as:

$$ZT = S^2\sigma T/\kappa,$$

- wherein S is the Seebeck coefficient, σ is the electrical conductivity, T is the absolute temperature, and κ is the thermal conductivity. The figure of merit, ZT is thus related to the coupling between electrical and thermal effects in a material; a high figure of merit of a thermoelectric material corresponds to an efficient thermoelectric material and vice versa.

The techniques relating to the manufacture of thermocouples from thermoelectric materials as well as the manufacture of thermoelectric devices from such thermocouples are well documented in the art. See for example Thermoelectric Handbook (ed. Rowe, M.), CRC Press, Boca Raton, 1995 and Thermoelectrics – Basic Principles and new Materials Developments, Springer Verlag, Berlin, 2001, which are hereby included as references.

Traditionally thermoelectric materials have been composed of alloys, such as Bi_2Te_3 , PbTe , BiSb and SiGe . These materials have a figure of merit of approximately $ZT = 1$ and operate at temperatures of 200 to 1300 K.

Further improvements appeared with the introduction of alloys of the Te-Ag-Ge-Sb (TAGS) type which exhibit ZT -values of approximately 1.2 in the temperature range of 670 -720 K.

Recently new types of materials were made with alloys of the Zn_4Sb_3 type. Caillat et al. in US 6,458,319 B1 disclose p-type thermoelectric materials of the formula $\text{Zn}_4\text{A}_x\text{Sb}_{3-y}\text{B}_y$, wherein $0 \leq x \leq 4$, A is a transition metal, B is a pnictogen, and $0 \leq y \leq 3$. The materials are disclosed as being single phased hexagonal rhombohedral. The

thermoelectric materials were manufactured as a single crystal prepared in accordance with a gradient freeze technique using a Bridgman Two-Zone furnace.

5 By this method however the material obtained tends to contain macro-cracks originating from the cooling of the material. Alternatively a "single phase", polycrystalline material was obtained using a powder metallurgy method in which the metals are reacted in a sealed ampoule at elevated temperature whereafter the resulting powder was hot-pressed at 20,000 psi and 350 °C. The materials exhibit acceptable high figures of merit. For example US 6,458,319 B1 discloses, that a ZT of 1 at a temperature of 250
10 °C could be obtained for Zn_4Sb_3 (cf. column 11, lines 13 – 16). Alternatively, the Zn_4Sb_3 -type materials may be prepared by a quench method wherein the elements making up the composition are melted in an ampoule for 2 hours at approximately 750 °C followed by quenching in water and hot-pressing (cf. Caillat et al., J. Phys. Chem. Solids, Vol. 58, No 7, pp. 1119 – 1125, 1997.

15 The known thermoelectric materials of the composition Zn_4Sb_3 , in which part of the Zn atoms optionally has been substituted by other dopant atoms, however has the disadvantage, that although initial high figure of merits can be obtained, these figure of merits cannot be maintained at the same level when the material is repeatedly subjected to an increase and decrease of the surrounding temperature. That is, if the thermoelectric material is thermally cycled, i.e. repeatedly subjected to an increase and
20 decrease of the surrounding temperature, which inevitably will happen when used in thermocouples, the figure of merit will decrease with each cycle until its reach an essential stable value which is considerably lower that the initial value obtained.

25 This fact is also confirmed in US 6,458,319 B1, in which it is stated that at temperature above 250 °C, some decomposition occurred leading to the formation of a ZnSb crystal structure in the samples (cf. column 10, lines 17 – 21). Once a decomposition to ZnSb has occurred in a part of the material, the material has lost some efficiency in terms of the figure of merit. The presence of a ZnSb phase in the material will furthermore during thermal cycling make the remaining correct Zn_4Sb_3 phase more prone
30 to decomposition to the undesired ZnSb phase, because the ZnSb phase already present may act as "crystal seeds" for further decomposition. In any event, once decomposition has occurred with accompanying "loss" of figure of merit, the original figure

of merit cannot be re-established and during thermal cycling it is inevitably that the figure of merit will continue decreasing until an essential constant value is obtained.

The effect of ZnSb impurities has been studied by L. T. Zhang et al. (J. Alloys and Compounds **2003**, 358, 252-256, "Effects of ZnSb and Zn inclusions on the thermoelectric properties of β -Zn₄Sb₃") and they conclude that ZnSb and Zn impurities degrade the thermoelectric properties. In particular it is stated in this document that:
5
"contrary to a previous paper [T. Caillat et al., J. Phys. Chem. Solids 58 (7) (1997), 1119], β -Zn₄Sb₃ was found to be not so stable under vacuum when heated to high temperatures, mainly because of Zn evaporation", (square bracket being added by
10 Applicant), cf. L. T. Zhang et al. J. Alloys and Compounds **2003**, 358, 252-256, page 253, paragraph 3.2, line 1).

Hence, it is evident, that the prior art Zn₄Sb₃ materials are not stable when subjected to thermal cycling.

15 Accordingly, a need for further improved thermoelectric materials of the composition Zn₄Sb₃, in which part of the Zn atoms optionally has been substituted by other dopant atoms and for which the decrease in the figure of merit during thermal cycling, is reduced, still exists.

20 Thus it is an object according to one aspect of the present invention to provide improved p-type thermoelectric materials having the stoichiometric formula Zn₄Sb₃, wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals, and wherein
25 the thermoelectric materials exhibit a high degree of phase purity.

A further object according to a second aspect of the present invention is to provide a process for the manufacture of such improved thermoelectric materials and to provide a method for the phase purification of an already existing thermoelectric material.

30 Another object according to a third aspect of the present invention is the use of such thermoelectric materials for the manufacture of thermocouples.

Yet another object according to a fourth aspect of the present invention is the provision of such thermocouples.

5 Still another object according to a fifth aspect of the present invention is the use of such thermocouples for the manufacture of thermoelectric devices.

Yet a still further object according to a sixth aspect of the present invention is the provision of such thermoelectric devices.

10 Finally as an eighth aspect of the present invention is the use of the above devices for thermoelectric purposes.

Brief description of the invention

15 The present invention relates to a thermoelectric material of the p-type having the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms; wherein said material in respect of ZT quality as expressed by the figure of merit, ZT,
20 being stable after thermal cycling; and wherein said material exhibits a figure of merit, ZT of 0.5 or higher at 350 °C and/or of 0.6 or higher at 400 °C.

The present invention also relates to a thermoelectric material of the p-type having the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn atoms optionally being substituted by Mg and/or Pb in a total amount of 20 mol% or less in relation to the Zn atoms.
25

Furthermore, the present invention relates to a process for the manufacture of a thermoelectric material according to the present invention comprising the steps:

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i) arranging a rod of a “non-stoichiometric” composition consisting of Zn and Sb and one or two “feeding rods” having a composition according to the material of claim 1 in such a way that at least one interface is formed between said “non-stoichiometric”

composition and said “feeding rod(s)”, thereby forming an arrangement composed by said rods;

5 ii) placing the arrangement obtained in step i) in an enclosure and closing and preferably also evacuating said enclosure thereby forming an ampoule;

10 iii) placing the ampoule obtained in step ii) in a furnace, such as an induction furnace in such a way that a heating zone is positioned near the rod of the “non-stoichiometric” composition;

iv) heating the rod of the “non-stoichiometric” composition in order to start melting said rod, thereby forming a melting zone;

15 v) moving the arrangement relative to the heating zone in order to move the position of the melting zone of the rod arrangement in a direction towards a “feeding rod”;

vi) allowing the arrangement to cool;

20 vii) cutting off the part(s) of the arrangement originating from the “feeding rod(s)”, and grinding and hot-pressing the remaining part.

The present invention furthermore relates to a method for the phase purification of an already existing thermoelectric material and it also relates to uses of a thermoelectric material according to the present invention for the manufacture of thermocouples, such thermocouples comprising one or more p-type thermoelectric materials according to the present invention, uses of such thermocouples for the manufacture of thermoelectric devices, such thermoelectric devices and uses such thermoelectric devices for thermoelectric purposes.

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Brief description of the drawings

Fig. 1a shows the effect on Seebeck coefficient during thermal cycling of a thermoelectric Zn_4Sb_3 material made by a process in analogy with the prior art quench method.

Fig. 1b shows the effect on electric conductivity during thermal cycling of a thermoelectric Zn_4Sb_3 material made by a process in analogy with the prior art quench method.

5 Fig. 2 shows the decrease of the ZT-value during thermal cycling. In the right hand side the curves represent from top to bottom: first heating cycle, first cooling cycle, second heating cycle, third heating cycle, second cooling cycle, third cooling cycle.

10 Fig. 3A and 3B show the distribution of Seebeck coefficients of a zone refined Zn_4Sb_3 sample where the pulling speed during zone refinement of the sample was too high. The sample subjected to zone refinement was made by a process in analogy with the prior art quench method.

15 Fig. 4 shows the distribution of Seebeck coefficients of a zone refined Zn_4Sb_3 sample where the pulling speed during zone refinement of the sample was too low. The sample subjected to zone refinement was made by a process in analogy with the prior art quench method.

20 Fig. 5 shows the distribution of Seebeck coefficients of a zone refined Zn_4Sb_3 sample where the pulling speed during zone refinement of the sample was correct. The sample subjected to zone refinement was made by a process in analogy with the prior art quench method.

25 Fig. 6 shows the distribution of Seebeck coefficients of a zone refined Zn_4Sb_3 sample doped with Mg.

Fig. 7 shows an x-ray powder diagram of a zone refined Zn_4Sb_3 sample where the pulling speed during zone refinement of the sample was too high. The sample subjected to zone refinement was made by a process in analogy with the prior art quench method.

30 Fig. 8 shows an x-ray powder diagram of a zone refined Zn_4Sb_3 sample where the pulling speed during zone refinement of the sample was too low. The sample subjected to zone refinement was made by a process in analogy with the prior art quench method.

Fig. 9 shows physical data of a quenched (lower curve) and a zone refined (upper curve) Zn_4Sb_3 sample respectively which have both been thermally cycled. The Zn_4Sb_3 quenched material was made by a process in analogy with the prior art quench method.

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Fig. 10 shows the distribution of Seebeck coefficients of a quenched Zn_4Sb_3 sample doped with Cd.

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Fig. 11 shows shows the distribution of Seebeck coefficients of a quenched Zn_4Sb_3 sample doped with Mg.

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Fig. 12 illustrates the improved properties in terms of "loss" of figure of merit in respect of a zone refined Zn_4Sb_3 sample compared to a Zn_4Sb_3 sample which has not been zone refined. Curve 1a represents a Zn_4Sb_3 sample before thermal cycling and curve 1b represents the same sample after thermal cycling. The sample was made in analogy with a prior art quench method. Curve 3a and 3b represent a zone refined Zn_4Sb_3 sample measured before and after thermal cycling respectively, and curve 2a and 2b represent a zone refined Zn_4Sb_3 sample in which additional Zn was added during hot pressing, measured before and after thermal cycling respectively

20

Fig. 13 shows an x-ray powder diagram of a quenched Zn_4Sb_3 sample made by a process in analogy with the prior art quench method.

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Fig. 14 shows an x-ray powder diagram of a zone refined Zn_4Sb_3 sample.

Fig. 15 shows physical data of a zone refined Zn_4Sb_3 sample which has not been thermally cycled. The sample subjected to zone refinement was made by a process in analogy with the prior art quench method.

30

Fig. 16 shows an x-ray powder diagram of a zone refined Mg-doped Zn_4Sb_3 sample.

Fig.17. shows the set-up for measuring Seebeck coefficients of a thermoelectric sample.

Fig. 18 shows the phase diagram of a material having the composition AB and having a phase diagram showing a peritectic reaction.

Detailed description of the invention

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The inventive thermoelectric material

It has now been found that the above mentioned reduction in the figure of merit under thermal cycling at least partly can be assigned to degree of phase impurities in the thermoelectric material.

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According to one aspect of the present invention a thermoelectric material of the p-type having the stoichiometric formula Zn_nSb_3 , wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms, is provided.

15

The thermoelectric materials of the p-type according to the present invention are in terms of ZT quality stable after thermal cycling; and said materials exhibit a figure of merit, ZT of 0.5 or higher at 350 °C and/or of 0.6 or higher at 400 °C.

20

The materials of the present invention have turned out to exhibit a high degree of phase purity in the sense that compared to prior art materials, the materials according to the present inventions does not contain and/or are less prone to form inclusions of the undesired ZnSb-phase.

25

One way of characterising the phase homogeneity of a thermoelectric material is by expressing the homogeneity in terms of a spatial Seebeck microprobe scan. The inventive materials according to the present invention may be characterised in that the material exhibits a single peak in a spatial Seebeck microprobe scan. The homogeneity of the material can be characterised via measures of the width of the single peak of the best fitted curve as expressed in the equation (i) below:

30

$$(i) \ y = y_0 + (A / (w * (\pi/2)^{1/2})) * \exp(-2 * ((x-xc)/w)^2)$$

(see section below for an explanation as to the various factors appearing in equation (i)).

5 Accordingly, in the present application the width, w is the width of the peak at maximum S/\sqrt{e} , where e is the exponential coefficient, and the materials according to the invention may be characterised in that said materials have a homogeneity as expressed by the width, w of the peak at maximum S/\sqrt{e} of the best fitted curve of a spatial Seebeck scanning of $15 \mu\text{VK}^{-1}$ or less. In the present description and in the appended
10 claims the term “width, w of the peak at maximum S/\sqrt{e} ” means width of the peak at the position of the height of the peak divided by \sqrt{e} . The reason for using this measure is that it is an appropriate measure derived from the best fitted Gauss-curve obtained from processing the measured data.

15 Hence in addition to x-ray powder diffraction analysis, the spatial Seebeck microprobe scan may present a useful method for evaluating on a preliminary basis, the usefulness of a potential thermoelectric material.

As set out above, the inventive thermoelectric material in addition of being of the
20 stoichiometric formula Zn_4Sb_3 , also comprises material of the stoichiometric formula Zn_4Sb_3 wherein part of the Zn atoms are substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms of Zn_4Sb_3 .

25 The elements referred to as “transition elements” in the present description and the appended claims are to be understood as the group comprising the following elements: Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Y, Zr, Nb, Mo, Ru, Rh, Pd, Ag, Cd, La, Hf, Ta, W, Re, Os, Ir, Pt, Au, Hg, and Ac.

30 The positive effect of doping a transition metal into the compound is at least two-fold. Firstly, it will lower the lattice thermal conductivity by introducing disorder in the structure. Secondly, it may introduce d-bands from the transition metal just below the

Fermi level. An asymmetric band structure near the Fermi level leads to an increased Seebeck coefficient.

5 However, whether the thermoelectric materials according to the present invention are doped or not, it is important that they exhibit a phase diagram showing a peritectic reaction: Preferably they exhibit a phase diagram showing a peritectic reaction analogue to Zn_4Sb_3 .

10 It should be noted that in the present application and in the appended claims, the term “a material having the stoichiometric formula Zn_4Sb_3 ” is to be interpreted as a material having a stoichiometry which traditionally and conventionally has been termed Zn_4Sb_3 and having a Zn_4Sb_3 crystal structure. However, it has recently been found that these materials having the Zn_4Sb_3 crystal structure contain interstitial zinc atoms making the exact stoichiometry $Zn_{12.82}Sb_{10}$, equivalent to the stoichiometry $Zn_{3.846}Sb_3$ (cf. Disordered zinc in Zn_4Sb_3 with Phonon Glas, Electron Crystal Thermoelectric Properties, Snyder, G. J.; Christensen, M.; Nishibori, E.; Rabiller, P.; Caillat, T.; Iversen, B. B., Nature Materials 2004, 3, 458-463; and Interstitial Zn atoms do the trick in Thermoelectric Zinc Antimonide, Zn_4Sb_3 . A combined Maximum Entropy Method X-Ray Electron Density and an Ab Initio Electronic Structure Study, Caglioni, F.; Nishibori, E.; Rabiller, P.; Bertini, L.; Christensen, M.; Snyder, G. J.; Gatti, C.; Iversen, B. B., Chem. Eur. J. 2004, 10, 3861-3870). In the the present application and in the appended claims the optional substitution of one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms is based on the amount of Zn atoms of the exact stoichiometry Zn_4Sb_3 . Accordingly, the stoichiometry of a material according to the present invention having the maximum degree of substitution of metal X is $Zn_{3.2}X_{0.8}Sb_3$.

30 In one preferred embodiment of the present invention the inventive thermoelectric material has the stoichiometric formula Zn_4Sb_3 .

In another preferred embodiment of the thermoelectric material according to the present invention, a part of the Zn atoms of the material having the stoichiometric formula Zn_4Sb_3 has been substituted with Mg.

In a further and preferred embodiment of the thermoelectric material according to the present invention, a part of the Zn atoms of the material having the stoichiometric formula Zn_4Sb_3 has been substituted with Cd.

5 In a still further and preferred embodiment of the thermoelectric material according to the present invention, a part of the Zn atoms of the material having the stoichiometric formula Zn_4Sb_3 has been substituted with Hg.

10 In a yet still further and preferred embodiment of the thermoelectric material according to the present invention, a part of the Zn atoms of the material having the stoichiometric formula Zn_4Sb_3 has been substituted with Pb.

15 In another and preferred embodiment of the thermoelectric material according to the present invention, a part of the Zn atoms of the material having the stoichiometric formula Zn_4Sb_3 has been substituted with Sn.

20 In yet a still further and preferred embodiment of the thermoelectric material according to the present invention, a part of the Zn atoms of the material having the stoichiometric formula Zn_4Sb_3 has been substituted with Mg; and a part of the Zn atoms has been substituted with Cd.

25 It should be understood that in the present application, when the thermoelectric material according to the present invention has the stoichiometric formula Zn_4Sb_3 wherein part of the Zn atoms is substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals, the amount of the total substitution may be 20% or less, such as 19% or less, e.g. 18% or less, for example 17% or less, or 16% or less, such as 15% or less, e.g. 14% or less, for example 13% or less, or 12% or less, such as 11% or less, e.g. 10% or less, for example 9% or less, or 8% or less, such as 7% or less, e.g. 6% or less, for example 5% or less, or 4% or less, such as 3% or less, e.g. 2% or less, for example 1% or less, or not more than 0.9%, 0.8%, 0.7%, 30 0.6%, 0.5%, 0.4%, 0.3%, 0.2% or 0.1%; all percentages being mol%.

Furthermore, it should be understood, that when the thermoelectric material according to the present invention has the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn

atoms is substituted in an amount of any of the above-mentioned percentages, the substitution may comprise any one or any combination of one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals. Additionally, it should be understood that when the substitution comprises two or more elements selected from the group comprising Sn, Mg, Pb and the transition metals, the mutual molar amount of these dopant elements may be of any ratio.

Hence, the thermoelectric materials of the present invention embraces Zn_4Sb_3 and any combination of substitution of part of the Zn atoms of one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in any mutual molar ratio and in a total amount of substitution of any of the above percentages.

By the process for the manufacture of said thermoelectric materials according to the present invention it has been possible to obtain the above materials, in which the degree of phase purity is very high; i.e. the obtained inventive materials show only minor variations as to the possible various phases of the material.

The process according to the present invention for the manufacture of the inventive thermoelectric materials is a so-called zone melting process in which an interphase of two materials having different stoichiometries is melted and in which the obtained melted zone subsequently is moved in relation to the material so as to obtain the solidified material in a high phase purity.

It has been found that the phase purity of the thermoelectric material has a tremendous impact on the decrease of the ZT-value during thermal cycling. Thus, when obtaining thermoelectric materials according to the present invention which have a high degree of phase purity, the "loss" of the figure of merit, the ZT-value, during thermal cycling, is considerably reduced as compared to materials which have not been manufactured by a zone melting process.

The reason for this is not fully understood, but it is believed that the high purity and homogeneity prevents growth of undesired impurity phases due to the fact that only minor amounts of crystal "seeds" of undesired crystal structure are present in a material having a high degree of phase purity. A lower content of undesired crystal struc-

tures may make the material less prone to undergo a phase transition during heating and cooling cycles.

Fig. 1a, fig. 1b and fig. 2 show the effect of thermal cycling of a Zn_4Sb_3 material on the Seebeck coefficient, S , the electrical conductivity, σ , and ZT -values respectively. The material was thermally cycled within the temperature range of 50 – 350 °C. It can be seen that when the material has been thermally cycled a few times, somewhat stable values are obtained. In Fig. 1a the lower curve corresponds to the first part of the heating cycle, and in Fig. 1b the upper curve corresponds to the first part of the heating cycle. In the right hand side of Fig. 2 the curves represent from top to bottom: first heating cycle, first cooling cycle, second heating cycle, third heating cycle, second cooling cycle, third cooling cycle.

Fig. 15 shows the physical properties of a zone refined Zn_4Sb_3 material according to the present invention prior to thermal cycling. The sample subjected to zone refinement was made by a process in analogy with the prior art quench method. Fig. 15 shows that a figure of merit of above 1.1 can be obtained at approximately 400°C and Fig. 9 shows the physical properties of a zone refined Zn_4Sb_3 material according to the present invention (upper curve) after thermal cycling. Fig. 9 shows that a figure of merit of approximately 0.675 can be obtained at approximately 350°C.

Comparing fig. 15 with fig. 9 illustrates the “loss” of figure of merit during thermal cycling. Fig. 9 indicates that the “loss” of figure of merit is smaller for a zone refined sample compared to a sample made by a prior art quench method. The same property of “loss” of figure of merit during thermal cycling is also illustrated in Fig. 12. Fig. 12 shows that the “loss” of figure of merit during thermal cycling in respect of a Zn_4Sb_3 material that was made in analogy with a prior art quench method is many times larger than the “loss” of figure of merit during thermal cycling in respect of a Zn_4Sb_3 material that was subjected to zone refinement (compare the difference of curve 1a (quenched sample prior to thermal cycling) and 1b (quenched sample after thermal cycling) with that of curve 3a (zone refined sample prior to thermal cycling) and 3b (zone refined sample after thermal cycling) or that of curve 2a (zone refined sample with added Zn during hot pressing prior to thermal cycling) and 2b (zone refined sample with added Zn during hot pressing after thermal cycling)).

The high degree of phase purity of the inventive thermoelectric materials has been confirmed by the above-mentioned spatial Seebeck microprobe scanning method (or spatial Seebeck scan for short) which is further described below.

5

Bearing in mind that the Seebeck-value, S is defined as dV/dT , wherein dV is the potential difference present on the material, and dT is the temperature difference present at the position of the material and that the Seebeck-value accordingly is related to the ability of a thermoelectric material to produce a potential difference when arranged in a temperature gradient, it is implied that a useful thermoelectric material must have a high S-value.

10

In a spatial Seebeck scanning method a cross-section of the thermoelectric material is scanned so as to obtain specific Seebeck-values for very small areas of the cross-section of the material. By plotting the distribution of Seebeck-values - i.e. by plotting the number of times a specific Seebeck-value is measured as a function of each specific Seebeck-value – the phase purity can be visualised.

15

If the obtained curve shows a single, sharp peak a vast majority of each small scanned area exhibits nearly the same Seebeck-value which is indicative of a high phase purity. If, on the other hand, the curve shows two or more peaks and/or if each peak is not very sharp, the scanned area of the thermoelectric material seems to be not very homogeneous as to the distribution of Seebeck-values, which is indicative of a high degree of phase impurity.

25

It should be noted however, that in the present application the term “peak” of a spatial Seebeck scan is to be interpreted as the peak of the best-fitted curve of the distribution of the Seebeck-values measured. This curve is obtained by fitting each accumulated Seebeck value to the following gauss equation:

30

$$(i) \quad y = y_0 + (A / (w * (\pi/2)^{1/2})) * \exp(-2 * ((x-xc)/w)^2)$$

- wherein y_0 usually is equal to 0; w is the width; x is Seebeck value, x_c is peak value (=S_{medium}), and A is the area of the curve

Accordingly, a spatial Seebeck scan may be an easy way to assess on a preliminary basis the quality of a thermoelectric material in terms of Seebeck-values, and it has been found that the inventive thermoelectric materials according to the present invention which are manufactured by the zone melting process according to the present invention exhibit a single, sharp peak in a spatial Seebeck scan having a homogeneity of 15 μVK^{-1} or less as expressed by the width, w of the peak at maximum S/\sqrt{e} .

Furthermore the inventive thermoelectric materials according to the present invention which are manufactured by the zone melting process according to the present invention has improved behaviour in terms of reduction or "loss" of ZT-value during thermal cycling, as compared to thermoelectric materials which has not been made by a zone melting process.

Fig. 9 shows physical data measured on a Zn_4Sb_3 material which has not been zone refined (a quenched material made by a process in analogy to the prior art quench method); and a zone refined Zn_4Sb_3 material according to the present invention respectively. The upper curve corresponds to the zone refined material. Both samples have been thermally cycled until constant values of properties were obtained. In respect of the thermal conductivity measurements the two curves are essentially superimposed. Fig. 9 shows that zone refining enhances the figure of merit, ZT. At 350 °C the ZT-value is increased from 0.4 without zone refining to 0.675 when zone refined. This corresponds to an increase of approximately 68%.

As it appears from the above, the distribution of Seebeck-values, as obtained by a spatial Seebeck scan may in fact be utilised as a qualitative measure of the phase purity of the material. Accordingly, the distribution of the Seebeck-values in the Seebeck scan can be used for defining a given material.

Preferably, the inventive thermoelectric materials according to the present invention which are manufactured by the zone melting process according to the present invention exhibit a single, sharp peak in a spatial Seebeck scan having a homogeneity of 15 μVK^{-1} or less as expressed by the width, w of the peak at maximum S/\sqrt{e} .

More preferred, the inventive thermoelectric materials exhibit a single peak corresponding to $S \geq 90 \mu\text{VK}^{-1}$. By the term “corresponding to $S \geq 90 \mu\text{VK}^{-1}$ ” it is understood that the top of the single peak corresponds to a value of S of not less than $90 \mu\text{VK}^{-1}$ in a spatial Seebeck microprobe scan. Preferably the inventive thermoelectric materials exhibit a single peak corresponding to $S \geq 100 \mu\text{VK}^{-1}$, such as $S \geq 110 \mu\text{VK}^{-1}$.

It should be noted that in this application, when reference is made to a spatial Seebeck scan of a sample, it is understood that the sample is “pure” in terms of conventional x-ray powder diffraction analysis, i.e. that the total volume fraction of crystalline impurity phases is below quantification level (typically below 1 – 2%).

Fig. 5 visualises the phase purity of a zone refined Zn_4Sb_3 material according to the present invention. The sample was scanned along the lengthwise direction. The curve in the upper part of Fig. 5 shows the distribution of Seebeck values in respect of specific values measured, and in the plot in the lower part of Fig. 5 the distribution is visualised by way of shade plotting; each shade corresponds to a specific S value. The homogeneity as expressed by the width, w was found to be $13.7 \mu\text{VK}^{-1}$.

Similarly, Fig. 6 visualises the phase purity of a zone refined Zn_4Sb_3 material doped with 1% Mg according to the present invention. The sample was scanned along the lengthwise direction. The homogeneity as expressed by the width, w was found to be $5.7 \mu\text{VK}^{-1}$.

Fig. 10 visualises the phase purity of a quenched Zn_4Sb_3 material doped with 0.1% Cd according to the present invention. The sample was scanned along the lengthwise direction. The homogeneity as expressed by the width w was found to be $6.7 \mu\text{VK}^{-1}$.

The spatial Seebeck scanning method

30

The following section explains how to perform the spatial Seebeck scan.

The Seebeck coefficient is an indirect measure for charge carrier concentration and thus gives information about the components of the investigated material.

A scanning Seebeck micro-thermoprobe is a device for measuring the Seebeck coefficient on a sample's surface spatially resolved, and as explained above this provides information as to the homogeneity or distribution of the components.

5

Measuring principle in the Seebeck microprobe:

With reference to fig. 17 the microprobe for use in a spatial Seebeck scan is explained below.

10

A sample is divided into two halves by cutting the sample in e.g. a lengthwise direction using a diamond wire saw.

A heated probe tip (50) is positioned onto the surface of a sample (30), which due to the cutting is exposed. The probe is connected with a thermocouple (40) (in this case a Cu-CuNi type) measuring the temperature T_1 . The sample is in good electrical and thermal contact with a heat sink (20) and also connected with a thermocouple measuring T_0 . The probe tip (50) heats the sample in the vicinity of the tip leading to a temperature gradient. Tip (50) as well as heat sink (20) is temperature controlled and can be moved via linear stages.

15
20

Combining the Cu-Cu and the CuNi-CuNi wires of the thermocouples, voltages U_0 and U_1 are measured, resulting in the following equations:

25
$$U_0 = (S_S - S_{Cu}) \cdot (T_1 - T_0); \text{ and}$$

$$U_1 = (S_S - S_{CuNi}) \cdot (T_1 - T_0);$$

giving:

30

$$S_S = \frac{U_0}{U_1 - U_0} (S_{Cu} - S_{CuNi}) + S_{Cu},$$

S_s being the Seebeck coefficient of the sample at the position of the probe tip.

5 A major prerequisite of the measuring principle is to keep a temperature gradient between the probe and the sample. A suitable value for the gradient turns out to be around 3 K.

10 Three linear stages are used to scan the surface in the x-y plane (10) of the sample with a physically limited resolution of up to 10 μm depending on the thermal conductivity of the scanned material. To achieve the required high resolution, the sample must have good thermal contact to the thermocouple and a heat sink. In this case it is embedded into a low melting solder (Wood-metal) with the thermocouple.

For additional details relating to the spatial Seebeck scan, reference is made to:

15 P. Reinshaus, H. Süßmann, M. Bohm, A. Schuck, T. Dietrich, Proc. of the 2nd European Symposium on Thermoelectrics – Materials, Processing Techniques and Applications, Dresden, Germany 1994, 90, which is hereby incorporated as reference.

20 Although the Seebeck measurements may depend on the calibration of a given apparatus, and thus the absolute values may vary, the relative values of the Seebeck coefficients measured for different samples on the same equipment are reliable. Because the width, w of the peak of the Seebeck scan may be used as a parameter for defining the phase purity, it is desirably that, the obtained width, w is not instrument-dependent. In fact, it has turned out, that the empirically obtained width, w will show little dependence on the exact calibration of the instrument. In other words the width w is independent on the exact absolute values measured for a given apparatus - it represents a
25 distribution of values.

Obtaining the figure of merit empirically

30 As set forth above, the efficiency of any thermoelectric material is characterised by the figure of merit,

$$ZT = S^2\sigma T/\kappa,$$

where S is the Seebeck coefficient, σ the electrical conductivity and κ the thermal conductivity and T is the absolute temperature.

In this work, when obtaining the figure of merit, all the factors appearing in the right hand side of the above equation were measured separately.

A - Measuring the temperature dependent Seebeck coefficient S

A sample was placed into an oven and contacted with two thermocouples (Pt/PtRh) that have a distance of about 8 mm. One side of the sample is contacted with a heat sink so that a temperature gradient is created. This temperature gradient leads to the measured thermopower $U(T)$. Derivating $U(T)$ to the temperature gives the Seebeck coefficient at the temperature T . The thermopower was measured in a range of different temperatures.

15

B - Measuring the electrical conductivity σ

A rectangular sample was placed into an oven and contacted at both opposite faces with an AC current source. One side perpendicular to these contacts is contacted with two potential probes of tungsten carbide. The measured voltage is proportional to the electrical conductivity and the current applied to the sample and a geometrical correction factor. The voltage thus giving the electrical conductivity was measured in a range of different temperatures.

20

C - Measuring the thermal conductivity κ

The thermal conductivity κ is proportional to the density ρ , the thermal diffusivity λ and the specific heat C_p , $\kappa = \rho \lambda C_p$.

25

λ was measured with a commercial laser flash apparatus, C_p was measured with a commercial DSC (differential scanning calorimeter) and the density results from the weight and the geometric dimensions. These parameters which give the thermal con-

30

ductivity according to the equation given above were measured in a range of different temperatures.

All the measured parameters given above were applied according to the equation:

5
$$ZT = S^2\sigma T/\kappa,$$

- in order to express the figure of merit as a function of temperature.

10 Processes for the manufacture of the inventive thermoelectric materials

A - Zone melting Process

The improved thermoelectric materials according to the present invention were manufactured by a zone melting process.

15

In this process an interphase between a “non-stoichiometric” material - i.e. a material which does not obey the stoichiometric formula of the desired thermoelectric material and a “stoichiometric” material having the stoichiometric formula of the desired material, is created so as to form an “arrangement”, and subsequently a heating zone is established near or preferably around a part of the “non-stoichiometric” material so as to cause a section of the “non-stoichiometric” material to melt, whereafter the position of the melted material is moved in relation to the materials making up the arrangement in order to obtain the final product.

20

25 The interphase is preferably provided in the form of a rod of the “non-stoichiometric” material, and a “feeding rod” comprising a thermoelectric material having the stoichiometric formula which is desired for the final thermoelectric product. Preferably the arrangement is obtained by arranging one of the materials on top of the other.

30

In the present application the term “rod” is to be interpreted as any kind of geometrical shape which is suitable for the intended purpose, i.e. suitable for forming either the “non-stoichiometric” or the “stoichiometric” material to be melted in the zone refining process according to the present invention.

Subsequently the interphase between the two types of material is subjected to a zone melting, for example by placing this arrangement in the centre of an induction furnace. It is however preferred first to enclose the arrangement in a vacuum. This is preferably achieved by placing the arrangement in an enclosure, such as a quartz tube which subsequently preferably is evacuated and closed by melting its ends, thereby forming an ampoule. In a preferred embodiment the “non-stoichiometric” material is surrounded by two “feeding rods” thereby forming two interphases between different materials. In this embodiment – when the arrangement is arranged in an up-right configuration - the lower “feeding rod” simply acts as a support for the arrangement.

10

Subsequently the zone melting is started by applying power to the furnace so that the heat produced at a position corresponding to the interphase between the “non-stoichiometric” material and one feeding rod melts the material of said interphase.

15

When melting has been observed for about 15 min. the power is slightly lowered, e.g. over a time span of approximately 10 min. After an additional time span of approximately 10 min., the ampoule is moved through the heating zone of the furnace at a very low speed, such as 0.6 – 1.8 mm/h. In this way the melting zone travels through the material at the same speed. When the melting zone has passed through the entire arrangement, the power is switched off and the arrangement is allowed to cool.

20

It has been found that when the speed of movement of the melting zone is approximately 1.0 – 1.5 mm/hour, the obtained zone-melted materials exhibits very high phase purities and high ZT-values as well as low “loss” of ZT-value during thermal cycling.

25

At this stage the part(s) of the arrangement originating from the “feeding rod(s)” is/are cut off and the remaining part is hot-pressed as set out below.

30

Since the crystals grown by melting and purified by zone refinement are brittle, samples were reduced to small sizes, ball milled and then hot pressed with a hot uniaxial press (HUP). The HUP consists of a vacuum chamber with an oven and a hydraulic system. Powder is filled into a pressing die of graphite that is mounted between the

hydraulic plungers in the oven. The temperature and the pressure as well as the translational displacement of the plungers are controlled.

5 Bulk samples were prepared from powders using the hot uniaxial press (HUP). Since consolidation parameters are strongly dependent on the specific material, several tests have been performed to discover the most suitable temperature, pressure and duration for each material. A series of Zn_4Sb_3 samples was ground and hot-pressed at different combinations of temperature and pressure to investigate the influence of consolidation conditions on the thermoelectric properties.

10

Thus, a program of material consolidation has been performed to obtain the most suitable pressure, temperature and duration, which was found to be 100 MPa, 370 °C and 30 min. under an inert atmosphere of 500 hPa nitrogen. All materials were ball-milled in hexane and hot pressed under vacuum. It has turned out that variations in the hot pressing parameters may account for variations in the quality of the resulting thermoelectric materials.

15

In some cases it was found that zinc was lost during pressing or heat treatment (probably by evaporation). In such cases it has turned out that adding zinc powder prior to HUP pressing improves the thermoelectric properties of the materials. Zinc powder – if added – was admixed in an amount of 1.2 atom% with the crushed Zn_4Sb_3 sample and this mixture was then ball-milled (300 rpm) in hexane for one hour and subsequently subjected to the HUP pressing using the above parameters.

20

25 Fig. 12 shows the figure of merit of thermally cycled Zn_4Sb_3 materials. Zone refining (curve 3b) improves the properties of a quenched (curve 1b) sample. Addition of zinc prior to the HUP (curve 2b) further increases the figure of merit.

25

The size of the final samples was varied between 16 mm in diameter and 1 mm in thickness for thermoelectric measurements and evaluation and 4 mm in diameter and 3-5 mm in thickness for the module preparation. Also samples with 16 mm diameter and 3 mm thickness have been prepared for module fabrication. However, the cutting of the samples may be difficult due to the brittleness of the material. 4 mm diameter samples can be used directly for the module.

30

The importance of the choice of pulling speed in the zone refining method according to the present invention are illustrated by Fig. 3a, 3b, 4, 5, 7, 8 and 14:

5 Fig. 3a shows the phase purity of a zone refined Zn_4Sb_3 material in which the pulling speed during zone refinement was too high. The sample was scanned along the lengthwise direction. Besides of being impure in terms of the various shades in the shade plotting, the sample also shows impurity in terms of exhibiting two peaks in the scan, suggesting the presence of two different phase structures.

10

Fig. 3b shows the phase purity of the same sample. The sample was scanned in two dimensions of a cross section.

15 Similarly, fig. 4 shows the phase purity of a zone refined Zn_4Sb_3 material in which the pulling speed during zone refinement was too low.

20 In contrast, fig. 5 shows the phase purity of a zone refined Zn_4Sb_3 material in which the pulling speed during zone refinement was correct (1.2 mm/hour). The sample was scanned along the lengthwise direction. The sample has a purity as expressed by the width, w of the peak at maximum S/\sqrt{e} of $13.7 \mu VK^{-1}$.

25 Fig. 7 and fig. 8 are x-ray powder diffraction diagrams of zone refined Zn_4Sb_3 materials in which the pulling speed during zone refinement was too high and too low respectively (the continuous spectrum shows the measured data). The lines in the diagram correspond to the ZnSb impurity phase implying that too high and too low pulling speeds respectively in the zone refining methods result in ZnSb-phases in the final material.

30 In contrast fig. 14 is an x-ray powder diffraction diagram of a zone refined Zn_4Sb_3 material in which the pulling speed during zone refinement was correct (the continuous spectrum shows the measured data). The lines in the diagram correspond to the Zn_4Sb_3 phase, and correspondence between the lines and the continuous spectrum suggests that a correct pulling speed in the zone refining method results in the correct Zn_4Sb_3

phase in the final material. The sample of fig. 14 was made by a process in analogy with the prior art quench method followed by zone refinement and hot pressing.

B - Preparation of the "feeding rods" for use in the zone melting process

5

Process for the manufacture of "feeding rods"

According to one aspect of the present invention the "feeding rods" for use in the zone melting process may be obtained by a simple thermal quench process in analogy with
10 the prior art quench method (cf. Caillat et al., J. Phys. Chem. Solids, Vol. 58, No 7, pp. 1119 – 1125, 1997).

In this process Zn pellets, Sb and optionally substituents (selected from the group comprising Mg, Sn, Pb and the transition metals) in the desired mutual molar ratio, i.e.
15 the ratio which is desired for the final thermoelectric material, are mixed and placed in an enclosure, such as a quartz tube. Preferably the Zn pellets prior to mixing with the other components are rinsed in dilute acid, such as dilute HCL, for example 4 M HCl, whereafter they preferably are rinsed in water followed by ethanol and dried with e.g. a hair dryer. Subsequently the tube is evacuated and closed by melting, thereby result-
20 ing in a quartz ampoule. The tube is evacuated to a final pressure of 10^{-5} - 10^{-3} bar or less. The obtained ampoule is then placed in a rotation device inside a furnace, such as a tube furnace. The furnace is switched on, and the ampoule is rotated so as to ensure mixing and homogenous heating. Preferably the ampoule is heated from room temperature to approximately 700 °C at 200 - 400 °C/h. After a few hours, such as 1 - 3
25 hours, preferably 2 hours at this temperature, the ampoule is quickly placed in a vessel containing water for thermal quenching.

It has in fact been found, that when the quench process is carried out in respect of a material that has been doped with Mg and/or Cd and possibly also with other dopants,
30 such as Pb, according to the thermoelectric material according to the present invention, thermoelectric materials are obtained which exhibit excellent properties in terms of ZT-values and phase purity as expressed by the spatial Seebeck microprobe scanning, even without the zone melting process, which however will further improve the properties of these materials. The same is true in respect of undoped Zn_4Sb_3 material;

albeit this material when not zone refined exhibits a smaller degree of phase purity compared to the doped materials.

5 Thus one aspect according to the present invention relates to a process for the manufacture of a thermoelectric material according to the present invention comprising the steps necessary for the manufacture of the "feeding rods" followed by grinding and HUP pressing.

10 In a preferred embodiment such "quenched" materials has the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Mg and Pb in a total amount of 20 mol% or less in relation to the Zn atoms

15 Fig. 13 is an x-ray powder diffraction diagram of a quenched Zn_4Sb_3 material which has not been zone refined (the continuous spectrum shows the measured data). The lines in the diagram correspond to the Zn_4Sb_3 phase and the correspondence between the lines and the continuous spectrum suggests that although the sample has not been zone refined it nevertheless comprises the correct Zn_4Sb_3 phase.

20 Fig. 9 shows the effect on physical properties of zone refining (upper curve) and a quenched Zn_4Sb_3 material made by a process in analogy with the prior art quench method. (lower curve). Both samples have been thermally cycled until constant values of properties were obtained. In respect of the thermal conductivity measurements the two curves are essentially superimposed. It is seen that zone refining process improves
25 the thermoelectric properties of the material.

Fig. 10 shows the phase purity of a quenched Zn_4Sb_3 material which has been doped with 0.1 mol% cadmium. The sample was scanned along the lengthwise direction. Even though this material had not been zone refined, it exhibits excellent phase purity
30 as evidenced by a width, w of $6.7 \mu VK^{-1}$.

Similarly, fig. 11 shows the phase purity of a quenched Zn_4Sb_3 material which has been doped with 0.1 mol% magnesium. The sample was scanned along the lengthwise

direction. Even though this material had not been zone refined, it exhibits an excellent phase purity expressed by the width, w of $12.0 \mu\text{VK}^{-1}$.

5 C - Preparation of the “non-stoichiometric” materials for use in the zone melting process

10 According to one embodiment of the present invention, the “non-stoichiometric” materials may be obtained by mixing the appropriate amounts of Zn and Sb respectively, then placing this mixture in an enclosure, such as a quartz tube, evacuating and closing said enclosure thereby forming an ampoule and placing the obtained ampoule in a furnace, such as a tube furnace, whereafter the material is sintered.

15 The mixing of the components is preferably performed by rotating the component Zn and Sb in a flask for approximately 1 hour.

20 Preferably the mixture is sintered for approximately six hours. More preferred the material is sintered for more than approximately 12 hours. It appears that a longer sintering time results in a better mechanical stability of the “non-stoichiometric” material. The sintering temperature is suitably selected in the range of 400 – 550 °C, such as approximately 400 - 450 °C.

When sintering is completed the ampoule is broken and the material is used as is.

25 The molar ratio Zn to Sb for the “non-stoichiometric” composition is preferably in the range 57:43 to 51:49, such as 56:44 to 52:48, for example 55:45 to 53:47 such as 54:46. The most preferred molar ratio Zn to Sb for the “non-stoichiometric” composition is approximately 52:48.

30 The enclosure containing the “non-stoichiometric” composition is preferably evaporated to a final pressure of 10^{-5} - 10^{-3} bar or less.

The above inventive process presents an easy and time efficient way to the manufacture of the improved thermoelectric materials according to the present invention. As revealed by a spatial Seebeck scanning the obtained inventive materials having the

Zn₄Sb₃ type structure exhibit a single, sharp peak indicating a high degree of phase purity (cf. fig. 5, fig. 6, fig. 10 and fig. 11. Furthermore, the thermoelectric materials according to the present invention exhibiting a single peak in said spatial Seebeck scanning have been shown to have a Zn₄Sb₃ type structure (cf. fig 13, fig. 14 and fig. 16), And finally, as evidenced by fig. 12 and 15, the thermoelectric materials according to the present invention have excellent thermoelectric properties.

Preferably the thermoelectric materials of the p-type having the stoichiometric formula Zn₄Sb₃, wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms according to the present invention prior to thermal cycling have a ZT at 375 °C of 1.3 or more, such as 1.4 or more, for example 1.5 or more; or 1.6 or more, such as 1.7 or more.

The thermoelectric material of the above type having the stoichiometric formula Zn₄Sb₃ according to the present invention prior to thermal cycling preferably have a ZT at 400 °C of 1.4 or more, such as 1.5 or more, for example 1.6 or more, such as 1.7 or more.

When subjected to thermal cycling the thermoelectric materials of the p-type having the stoichiometric formula Zn₄Sb₃, wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms according to the present invention preferably have a ZT at 350 °C of 0.4 or more, such as 0.5 or more, for example 0.6 or more, such as 0.7 or more; or 0.8 or more, such as 0.9 or more, e.g. 1.0 or more.

The thermoelectric materials of the p-type having the stoichiometric formula Zn₄Sb₃, wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms according to the present invention after thermal cycling preferably have a ZT at 400 °C of 0.5 or more, such as 0.6 or more, for example 0.7 or more, such as 0.8 or more; or 0.9 or more, such as 1.0 or more, e.g. 1.1 or 1.2 or more; for example 1.3 or more, such as 1.4 or more.

The zone melting process in a general perspective

In a general perspective the zone melting process may be applied in the phase refining of an already existing thermoelectric material showing a peritectic reaction analogue to Zn_4Sb_3 .

Hence, the zone melting process may also be applied in situations in which an improved purity of an already existing thermoelectric material is desired. In this case, the already existing thermoelectric material is simply used as “feeding rod(s)” as generally described above and the already existing thermoelectric material is then subjected to the zone refining process.

In order to be able to determine a suitable composition of the “non-stoichiometric” material to be used in the zone melting process in such a situation, the phase diagram of the composition of the material of the “feeding rod” should be consulted.

Fig. 18 shows a phase diagram of a material having a binary composition (of element A and element B) and showing a peritectic reaction analogue to Zn_4Sb_3 . The x-axis represents the composition ranging (from left to right) from pure A to pure B. The y-axis represent the temperature.

The composition of the material of the “non-stoichiometric” rod should be selected so as to correspond to the projection of the liquidus curve “x-m” of fig. 18 on the composition axis (the x-axis).

In the case where the thermoelectric material is a tertiary material (a material composed of three elements), the phase diagram is three-dimensional, and the projection of the liquidus curve “x-m” will be a two-dimensional surface, etc.

A person skilled in the art will be able to obtain information of the composition corresponding to a “liquidus” curve for any given thermoelectric material showing a peritectic reaction.

In a preferred embodiment according to the present invention the zone melting process according to the present invention is performed on an already existing thermoelectric material having the composition CoSb_3 or FeSb_2 . The phase diagram of these thermoelectric materials can be found in M. Hansen, "The constitution of binary alloys", McGraw-Hill Book Company, New York, 1958; and R. D. Elliot, "The constitution of binary alloys, first supplement", McGraw-Hill, Inc. New York, 1965.

The Manufacture of a Thermocouple

In a special embodiment according to the present invention the obtained thermoelectric material is used as the p-type thermoelectric in a thermocouple. By cutting this p-type material in suitable sizes and arranging and connecting such a piece of the appropriate size together with an n-type thermoelectric material, a thermocouple is obtained in a way known per se. See for example "Frank Benhard; Technische Temperaturmessung; Springer Berlin, 2003; ISBN 3540626727".

The Manufacture of a Thermoelectric Device Comprising one or more Thermocouples

In a special embodiment according to the present invention one or more of the obtained thermocouples is/are arranged in a way known per se in order to obtain a thermoelectric device. See for example "Frank Benhard; Technische Temperaturmessung; Springer Berlin, 2003; ISBN 3540626727".

Use of the Thermoelectric Device for thermoelectric purposes

In another aspect according to the present invention the obtained thermoelectric device is used for thermoelectric purposes.

Such uses are well-known for a person skilled in the art of thermoelectrics.

Examples

In the following examples the chemicals used were:

Zn, 3-8 mm pellets (Merck 8780);

Sb 325 mesh 99.5% (Alfa Caesar 10099);

Mg "shavings" 99.98% Alfa Caesar 36193

Cd, "shavings", 99.999% Cominco, Canada

Pb, granules, BDH 29014

5 Hg, superpur, Merck 4404

Sn, granules, 4mm, Merck 7806

Example 1 – Synthesis of a Zn_4Sb_3 thermoelectric material by thermal quenching in analogy to the prior art quench method

10

Small pellets of Zn were cleaned a few seconds in 4M HCl, and subsequently rinsed first in water and then in ethanol. They were dried with a hair dryer. The Zn pellets were then weighed ($M_{Zn} = 22.83638$ g), and placed in a quartz tube (inner diameter = 12.5 mm, outer diameter = 15 mm). Additionally, antimony was added ($M_{Sb} =$
15 31.89701 g). The tube was evacuated to 10^{-5} bar and closed by melting resulting in a quartz ampoule.

20

This ampoule was placed in a rotation device inside a tube furnace (HEREUS, ROK/A 6/30), and it was rotated consistently during heating so as to ensure mixing and homogenous heating. It was heated from room temperature to 700 °C at 400 °C/h. After 2 hours the ampoule was quickly placed in a water container for thermal quenching, thereby obtaining a rod.

25

Fig. 9 shows physical data measured on the Zn_4Sb_3 material which has not been zone refined (the quenched material) - the lower curve. The sample has been thermally cycled until constant values of properties were obtained.

30

Fig. 13 is an x-ray powder diffraction diagram of quenched Zn_4Sb_3 material (the continuous spectrum shows the measured data). The lines in the diagram correspond to the Zn_4Sb_3 phase and correspondence between the lines and the continuous spectrum suggests that it comprises the correct Zn_4Sb_3 phase.

Example 2 – Preparation of Mg-doped thermoelectric materials

A range of different Mg-doped materials was made.

5 Following the procedure described in example 1 with the exception of substituting part of the Zn with Mg: $M_{Zn} = 7.05042$ g, $M_{Mg} = 0.05406$ g, $M_{Sb} = 10.04673$ g, a 2% Mg-doped thermoelectric material is obtained.

10 Similarly, a 0.1% Mg doped Zn_4Sb_3 material was made. Fig. 11 shows the phase purity of this thermoelectric material expressed by the distribution of Seebeck values. The sample was scanned along the lengthwise direction. Even though this material had not been zone refined, it exhibits a phase purity expressed by w of $12.0 \mu\text{VK}^{-1}$.

Example 3 – Preparation of Cd-doped thermoelectric materials

15

Following the procedure described in example 1 with the exception of substituting part of the Zn with Cd: $M_{Zn} = 4.13008$ g, $M_{Cd} = 0.07172$ g, $M_{Sb} = 5.82651$ g, a 1% Cd-doped thermoelectric material is obtained.

20 Similarly, a 0.1% Cd doped Zn_4Sb_3 material was made. Fig. 10 shows the phase purity of this thermoelectric material expressed by the distribution of Seebeck values. The sample was scanned along the lengthwise direction. Even though this material had not been zone refined, it exhibits a phase purity expressed by w of $6.7 \mu\text{VK}^{-1}$.

Example 4 – Preparation of a 1% Hg-doped thermoelectric material

25

Following the procedure described in example 1 with the exception of substituting part of the Zn with Hg: $M_{Zn} = 9.74857$ g, $M_{Hg} = 0.302$ g, $M_{Sb} = 13.74376$ g, a Hg-doped thermoelectric material is obtained.

30

Example 5 – Preparation of a 1% Pb-doped thermoelectric material

Following the procedure described in example 1 with the exception of substituting part of the Zn with Pb: $M_{Zn} = 4.16204$ g, $M_{Pb} = 0.13998$ g, $M_{Sb} = 5.87129$ g, a Pb-doped thermoelectric material is obtained.

Example 6 – Preparation of a 1% Sn-doped thermoelectric material

Following the procedure described in example 1 with the exception of substituting part of the Zn with Sn: $M_{Zn} = 2.0523$ g, $M_{Sn} = 0.0366$ g, $M_{Sb} = 2.8981$ g, a Sn-doped thermoelectric material is obtained.

Example 7 – Preparation of a 1% Mg and 1% Cd-doped thermoelectric material

Following the procedure described in example 1 with the exceptions of substituting part of the Zn with Mg and Cd: $M_{Zn} = 8.43497$ g, $M_{Cd} = 0.14284$ g, $M_{Mg} = 0.03421$ g, $M_{Sb} = 12.02077$ g, a Mg- and Cd-doped thermoelectric material is obtained.

Example 8 – Synthesis of a Zn_4Sb_3 thermoelectric material by zone refinement

A - Synthesis of a "non-stoichiometric" material

Zn and Sb was weighed in desired stoichiometry of 52 mol% Zn and 48 mol% Sb and mixed by rotation for about 1 hour ($M_{Zn} = 16.19581$ g, $M_{Sb} = 27.84659$ g) The mixture was subsequently placed in a quartz tube having same inner diameter as the rod obtained in example 1. The tube was evacuated to 10^{-5} bar and closed by melting. The resulting ampoule was placed in a vertical tube furnace (HEREUS ROK/A 6/30) where it is sintered for 12 hours at 400°C and 14 hours at 450 °C.

B - Zone melting

In a quartz tube designed for mounting in an induction furnace 18 mm of a rod obtained in example 1 (a "feeding rod") was placed at the bottom. A 10 mm piece of the sintered "non-stoichiometric" material obtained as described above was placed on top.

Finally a large “feeding rod”, 70 mm, also prepared according to example 1 was placed on top of the “non-stoichiometric” rod. The quartz tube was closed by melting a piece of glass at the upper part, which allows mounting on a vacuum pump. The tube was evacuated to 10^{-5} bar and closed by melting. The quartz ampoule was placed in a
5 boron nitride (BN) holder at the bottom of the induction furnace (TSS model HP crystal growing furnace, 60kW max power). The top of the ampoule was fastened inside another BN mount, which was screwed into the pulling stick of the furnace. The ampoule was placed with the induction coil positioned around the “non-stoichiometric” rod, i.e. this is where the melt zone starts. The coil had three “windings” or coils in an
10 overall conical shape. The top was 38 mm wide, the bottom 20 mm wide. Each coil was 5 mm thick. Heating was started and melting was observed in about 15 min., and the power was lowered slightly over 10 minutes. The power input was approximately 3.5 kW (2.5 kV, 1.4 A). After another 10 minutes the ampoule was pulled through the induction coils at a rate of 1.2 mm per hour. The melted zone travelled through the
15 coils with the same speed, and the entire synthesis took 73 hours and 25 minutes. Subsequently the zone-refined Zn_4Sb_3 material was ball-milled under hexane and hot pressed at 100 MPa, 370 °C and 30 min. under an inert atmosphere of 500 hPa nitrogen.

20 Fig. 5 shows the phase purity of this thermoelectric material expressed by the distribution of Seebeck values. The sample was scanned along the lengthwise direction. The material exhibits a phase purity expressed by w of $13.7 \mu\text{VK}^{-1}$.

Fig. 15 shows physical data measured on the Zn_4Sb_3 material which has been zone
25 refined. The measurements took place before thermally cycling. At approximately 400 °C the ZT-value is above 1.1.

Fig. 9 shows physical data measured on a Zn_4Sb_3 material which has not been zone
30 refined (a quenched material); and a zone refined Zn_4Sb_3 material according to the present invention respectively. The upper curve corresponds to the zone refined material. Both samples have been thermally cycled until constant values of properties were obtained. In respect of the thermal conductivity measurements the two curves are essentially superimposed. Fig. 9 shows that zone refining enhances the figure of merit,

ZT. At 350 °C the ZT-value is increased from 0.4 without zone refining to 0.675 when zone refined which corresponds to an increase of approximately 68%.

5 Fig. 14 is an x-ray powder diffraction diagram of the zone refined Zn_4Sb_3 material (the continuous spectrum shows the measured data). The lines in the diagram correspond to the Zn_4Sb_3 phase and correspondence between the lines and the continuous spectrum suggests it comprises the correct Zn_4Sb_3 phase.

10 **Example 9 – Synthesis of a Mg-doped Zn_4Sb_3 thermoelectric material by zone melting**

Following the procedure described in example 8 with the exceptions of substituting part of the Zn with Mg: $M_{Zn} = 29.64571$, $M_{Mg} = 0.11071$ g, $M_{Sb} = 41.83186$ g, a Mg-doped “feeding rod” was obtained.

15 Fig. 6 shows the phase purity of this thermoelectric material expressed by the distribution of Seebeck values. The sample was scanned along the lengthwise direction. The thermoelectric material exhibits a phase purity expressed by w of $5.7 \mu\text{VK}^{-1}$. Fig 16 is an x-ray powder diffraction diagram of the zone refined Mg-doped Zn_4Sb_3 material
20 (the continuous spectrum shows the measured data). The lines in the diagram correspond to the Zn_4Sb_3 phase and correspondence between the lines and the continuous spectrum suggests it comprises the correct Zn_4Sb_3 phase.

CLAIMS

1. A thermoelectric material of the p-type having the stoichiometric formula Zn_nSb_3 , wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms; wherein said material in respect of ZT quality as expressed by the figure of merit, ZT, being stable after thermal cycling; and wherein said material exhibits a figure of merit, ZT of 0.5 or higher at 350 °C and/or of 0.6 or higher at 400 °C.
2. A thermoelectric material according to claim 1, wherein the thermal cycling has been performed by repeatedly subjecting the material to consecutive temperature increases and decreases within the temperature range of 50 – 350 °C.
3. A thermoelectric material according to claim 1 or 2, wherein said material at 350 °C exhibits a figure of merit, ZT of 0.6 or higher, for example 0.7 or higher, such as 0.8 or higher or 0.9 or higher, such as 1.0 or higher and/or wherein said material at 400 °C exhibits a figure of merit, ZT of 0.7 or higher, such as 0.8 or higher or 0.9 or higher, such as 1.0 or higher, such as 1.1 or higher, or 1.2 or higher, for example 1.3 or higher, such as 1.4 or higher.
4. A thermoelectric material according to any of the claims 1 - 3, wherein the total amount of substitution of the Zn atoms is 18 mol% or less, preferably 15 mol% or less, more preferred 12 mol% or less, still more preferred 10 mol% or less, such as 7.5 mol% or less, for example 5 mol% or less, e.g. 2 mol% or less, such as 1.5 mol% or less, such as 1 mol% or less.
5. A thermoelectric material according to any of the claims 1 - 3, wherein the material has the stoichiometric formula Zn_4Sb_3 .
6. A thermoelectric material according to any of the claims 1 - 4, wherein part of the Zn atoms is substituted with by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals.

7. A thermoelectric material according to claim 6, wherein part of the Zn atoms is substituted with Mg, preferably in an amount of 2 mol% or less.
8. A thermoelectric material according to claim 6, wherein part of the Zn atoms is substituted with Cd, preferably in an amount of 1 mol% or less.
9. A thermoelectric material according to claim 6, wherein part of the Zn atoms is substituted with Mg; and wherein part of the Zn atoms is substituted with Cd.
10. A thermoelectric material according to claim 6, wherein part of the Zn atoms is substituted with one or more of the elements selected from the group comprising Hg, Pb and Sn.
11. A thermoelectric material of the p-type having the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn atoms being substituted by Mg and/or Pb in a total amount of 20 mol% or less in relation to the Zn atoms.
12. A thermoelectric material according to claim 11, wherein the material prior to thermal cycling has a figure of merit, ZT of 1.3 or more at 375 °C.
13. A thermoelectric material according to any of the claims 1 – 12, wherein said material exhibiting a single peak in a spatial Seebeck microprobe scan; and wherein said material has a homogeneity of $15 \mu\text{VK}^{-1}$ or less as expressed by the width, w of said peak at maximum S/\sqrt{e} of the best fitted curve of said spatial Seebeck microprobe scan.
14. A thermoelectric material according to claim 13, wherein said material has a homogeneity of $13 \mu\text{VK}^{-1}$ or less, preferably $11 \mu\text{VK}^{-1}$ or less, such as $9 \mu\text{VK}^{-1}$ or less, as expressed by said width, w of the peak at maximum S/\sqrt{e} of the best fitted curve of said spatial Seebeck microprobe scan.
15. A thermoelectric material according to claim 13 or 14, wherein said single peak corresponds to $S \geq 90 \mu\text{VK}^{-1}$, preferably $S \geq 100 \mu\text{VK}^{-1}$, such as $S \geq 110 \mu\text{VK}^{-1}$.

16. A process for the manufacture of a thermoelectric material according to any of the claims 1 -15 comprising the steps:

- 5 i) arranging a rod of a “non-stoichiometric” composition consisting of Zn and Sb and one or two “feeding rods” having a stoichiometric composition according to the material of claim 1 in such a way that at least one interface is formed between said “non-stoichiometric” composition and said “feeding rod(s)”, thereby forming an arrangement composed by said rods;
- 10 ii) placing the arrangement obtained in step i) in an enclosure and closing and preferably also evacuating said enclosure, thereby forming an ampoule;
- 15 iii) placing the ampoule obtained in step ii) in a furnace, such as an induction furnace in such a way that a heating zone is positioned near the rod of the “non-stoichiometric” composition;
- iv) heating the rod of the “non-stoichiometric” composition in order to start melting said rod, thereby forming a melting zone;
- 20 v) moving the arrangement relative to the heating zone in order to move the position of the melting zone of the rod arrangement in a direction towards a “feeding rod”;
- vi) allowing the arrangement to cool;
- 25 vii) cutting off the part(s) of the arrangement originating from the “feeding rod(s)”, and grinding and hot-pressing the remaining part.

17. A process according to claim 16, wherein the rod of “non-stoichiometric” composition is obtained by mixing the desired amounts of Zn and Sb respectively, placing
30 the obtained mixture in an enclosure, evacuating and closing said enclosure thereby forming an ampoule, and finally sintering the mixture contained in said ampoule.

18. A process according to claim 17, wherein the mixture is sintered for more than approximately 12 hours at approximately 400 - 550 °C, such as at approximately 400 - 450 °C.
- 5 19. A process according to any of the claims 16 - 18, wherein the molar ratio Zn : Sb in the "non-stoichiometric" composition is within the range 57:43 to 51:49, such as 56:44 to 52:48, for example 55:45 to 53:47 such as 54:46.
20. A process according to any of the claims 16 - 19, wherein in step ii) the enclosure
10 is evaporated to a pressure of 10^{-5} - 10^{-3} bar or less.
21. A process according to any of the claims 16 - 20, wherein in step v) the speed of movement is approximately 1.0 – 1.5 mm/hour.
- 15 22. A process for the manufacture of a thermoelectric material according to any of the claims 16 - 21, wherein the feedings rod(s) is/are provided by a process comprising the steps:
- 20 i) mixing the elements making up the composition of the desired thermoelectric material having the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn atoms optionally is substituted with one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20mol% or less, and arranging the resultant mixture in an enclosure;
- 25 ii) evacuating and closing said enclosure resulting in an ampoule;
- iii) heating said ampoule inside a furnace; and
- iv) finally quenching the content of said ampoule by contacting said ampoule with
30 water.
23. A process according to claim 22, wherein the ampoule is heated from room temperature to approximately 700 °C at a rate of 200 - 400 °C /h.

24. A process for the manufacture of a thermoelectric material according to any of the claims 1 – 15 comprising the steps i) to iv) of claim 22 or 23 followed by grinding and hot-pressing.
- 5 25. A process according to claim 24, wherein the thermoelectric material is a thermoelectric material of the p-type having the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn atoms is substituted by one or more elements selected from the group comprising, Mg and Pb.
- 10 26. A process according to any of the claims 16 - 25, wherein additional zinc is added to the grinded material to be hot-pressed.
27. A process for the phase purification of a thermoelectric material composed of at least two elements, comprising the steps:
- 15
- i) arranging a rod of a “non-stoichiometric” composition and one or two “feeding rods” in such a way that at least one interface is formed between said “non-stoichiometric” composition and said “feeding rod(s)”, thereby forming an arrangement composed by said rods;
- 20
- ii) placing the arrangement obtained in step i) in an enclosure and evacuating and closing said enclosure thereby forming an ampoule;
- iii) placing the ampoule obtained in step ii) in a furnace, such as an induction furnace
- 25 in such a way that a heating zone is positioned near the rod of the “non-stoichiometric” composition;
- iv) heating the rod of the “non-stoichiometric” composition in order to start melting said rod, thereby forming a melting zone;
- 30
- v) moving the arrangement relative to the heating zone in order to move the position of the melting zone of the rod arrangement in a direction towards a “feeding rod”;
- vi) allowing the arrangement to cool;

vii) cutting off the part(s) of the arrangement originating from the “feeding rod(s)”, and grinding and hot-pressing the remaining part;

5 wherein said “feeding rod(s)” comprise(s) (an) already existing thermoelectric material(s) made in any kind of process and having a “stoichiometric” composition which is desired for the phase purified thermoelectric end product; and

10 wherein said “feeding rod(s)” having a phase diagram showing a peritectic reaction; and

wherein the composition of said “non-stoichiometric” material is within the range corresponding to the projection of the liquidus curve “x-m” on the composition axis in the phase diagram of said “stoichiometric” material.

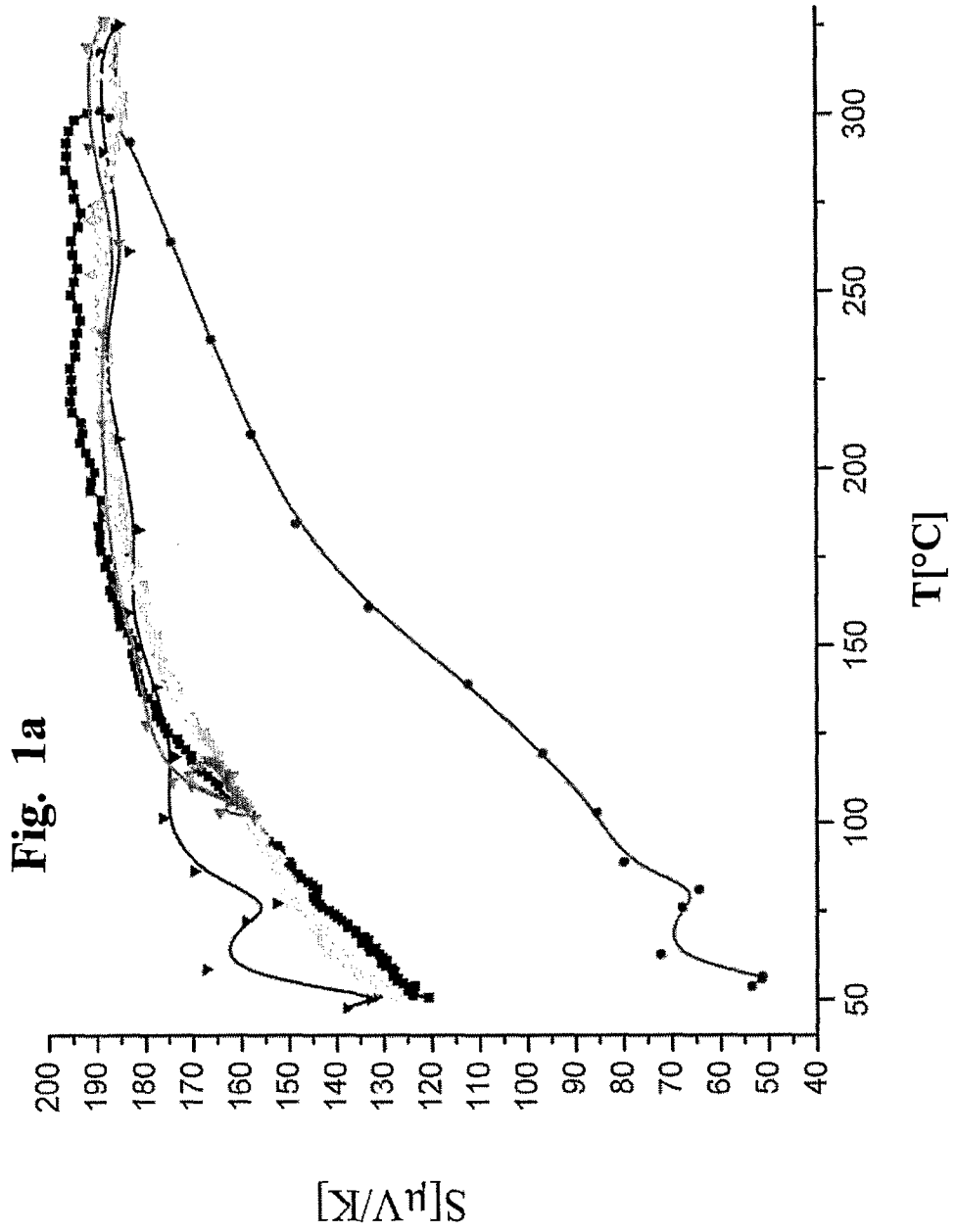
15 28. A process according to claim 27, wherein the rod of the “non-stoichiometric” composition is obtained by mixing the appropriate amounts of the elements making up the “non-stoichiometric” material, placing the obtained mixture in an enclosure, evacuating and closing said enclosure thereby forming an ampoule, and finally sintering the
20 mixture contained in said ampoule.

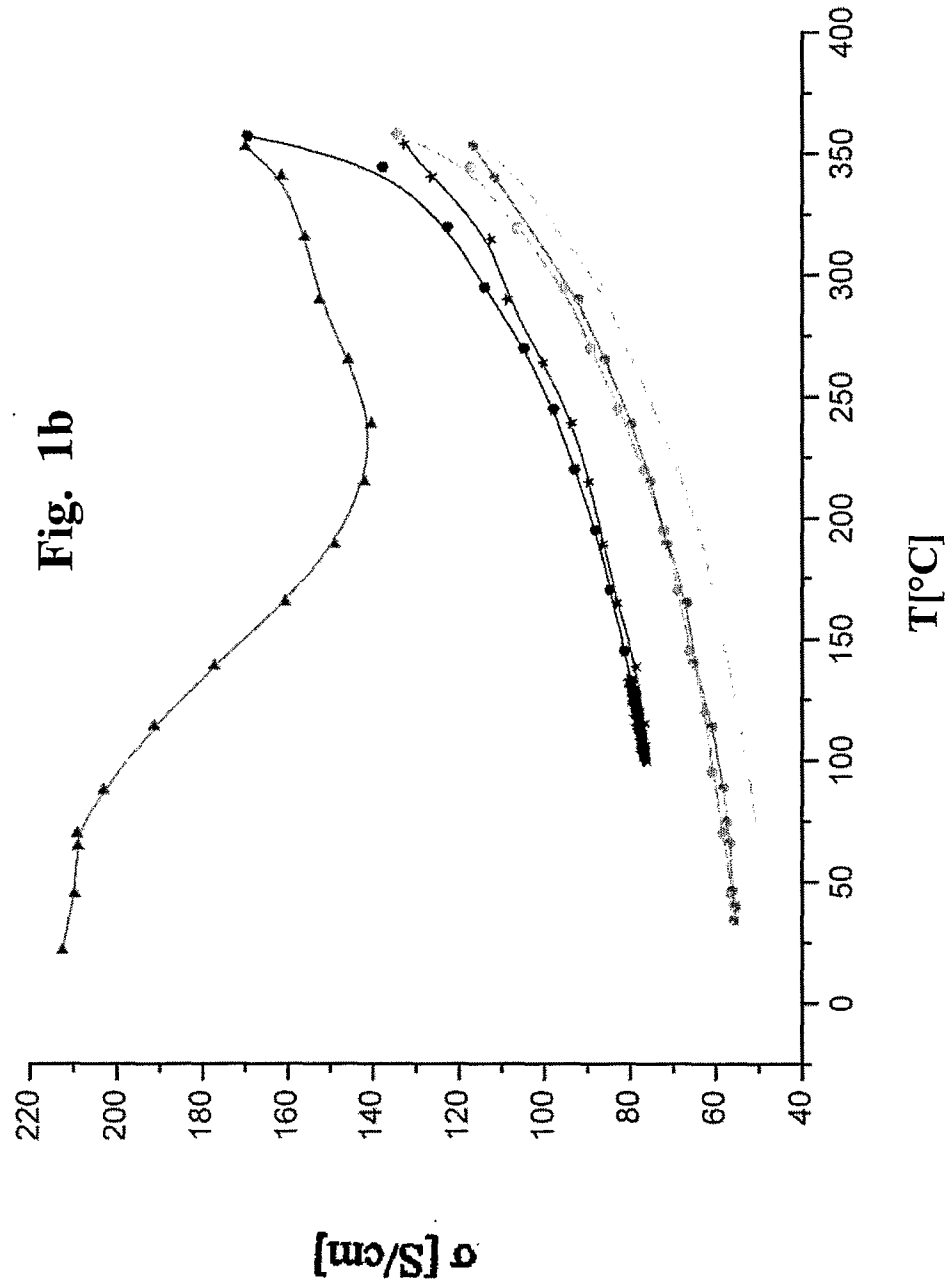
25 29. A process according to claim 27 or 28, wherein the “feeding rod” has the composition Zn_4Sb_3 , wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms; and wherein the “non-stoichiometric” material has the composition as set out in claim 19.

30 30. A process according to claim 27 or 28, wherein the feeding rod(s) has/have the “stoichiometric” composition $CoSb_3$ or $FeSb_2$.

31. Use of a thermoelectric material according to any of the claims 1 – 15, or a thermoelectric material obtainable by the process according to any of the claims 16 – 30 for the manufacture of thermocouples.

32. A thermocouple comprising one or more p-type thermoelectric materials according to any of the claims 1 – 15, or a thermoelectric material obtainable by the process according to any of the claims 16 – 30.
- 5 33. Use of a thermocouple according to claim 32 for the manufacture of a thermoelectric device.
34. A thermoelectric device comprising one or more thermocouples according to claim
- 10 35. Use of a thermoelectric device according to claim 34 for thermoelectric purposes.





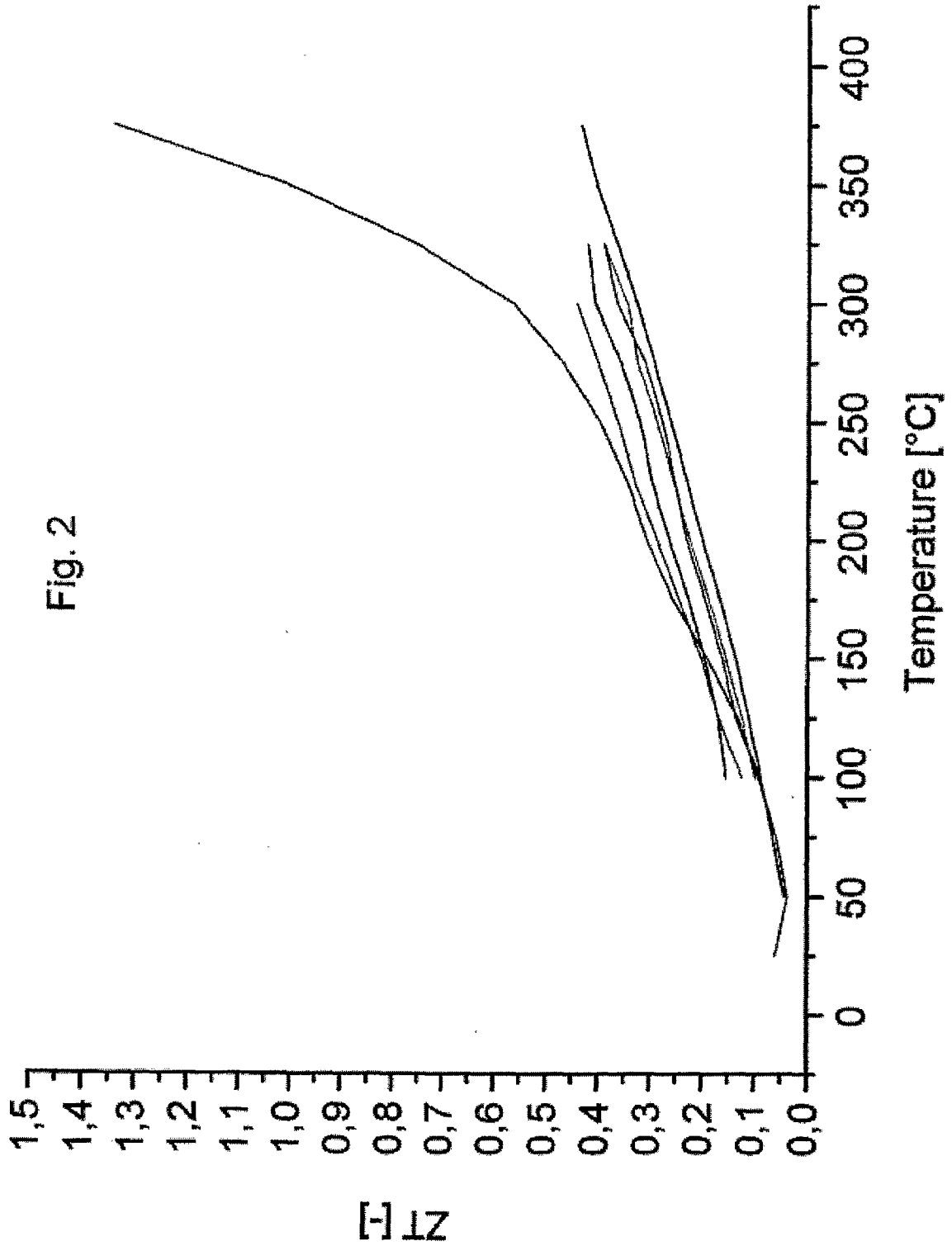
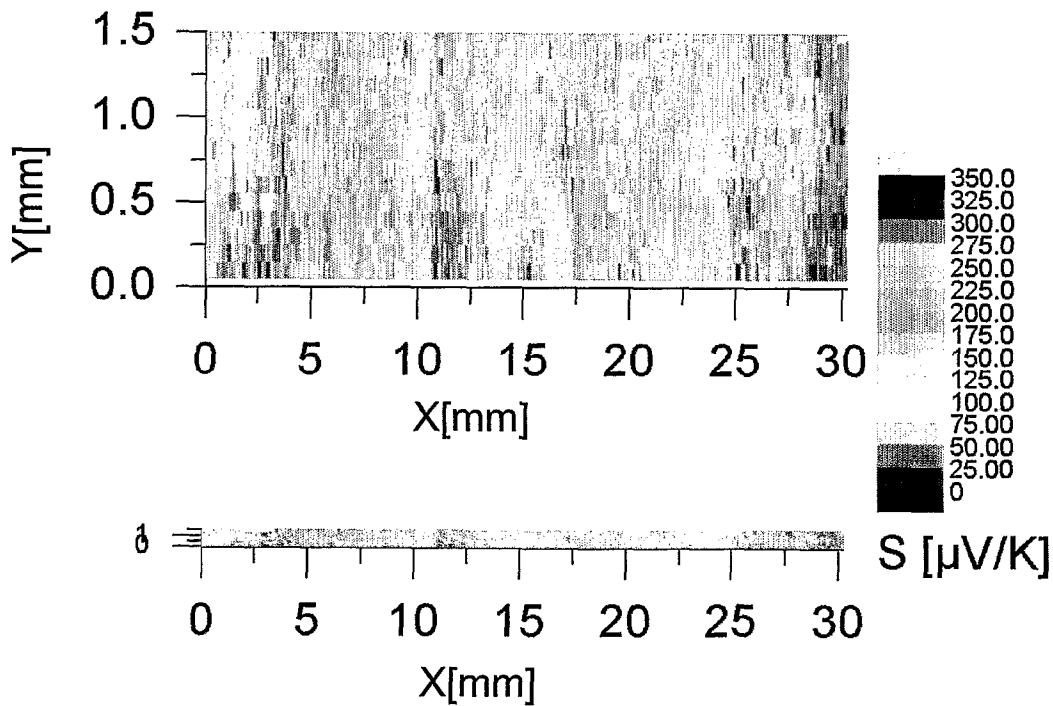
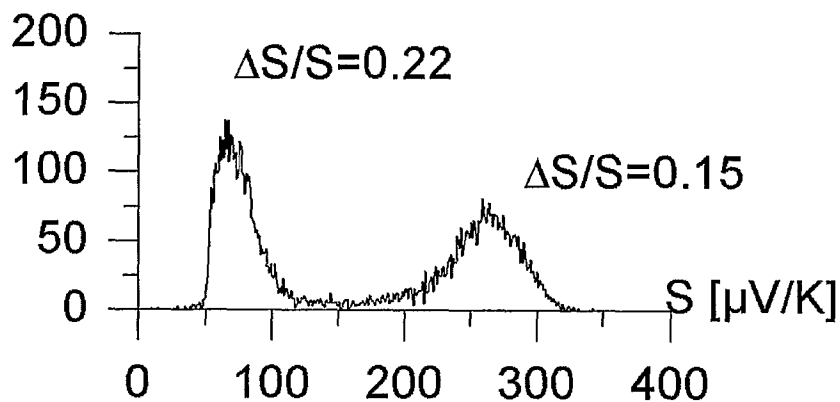
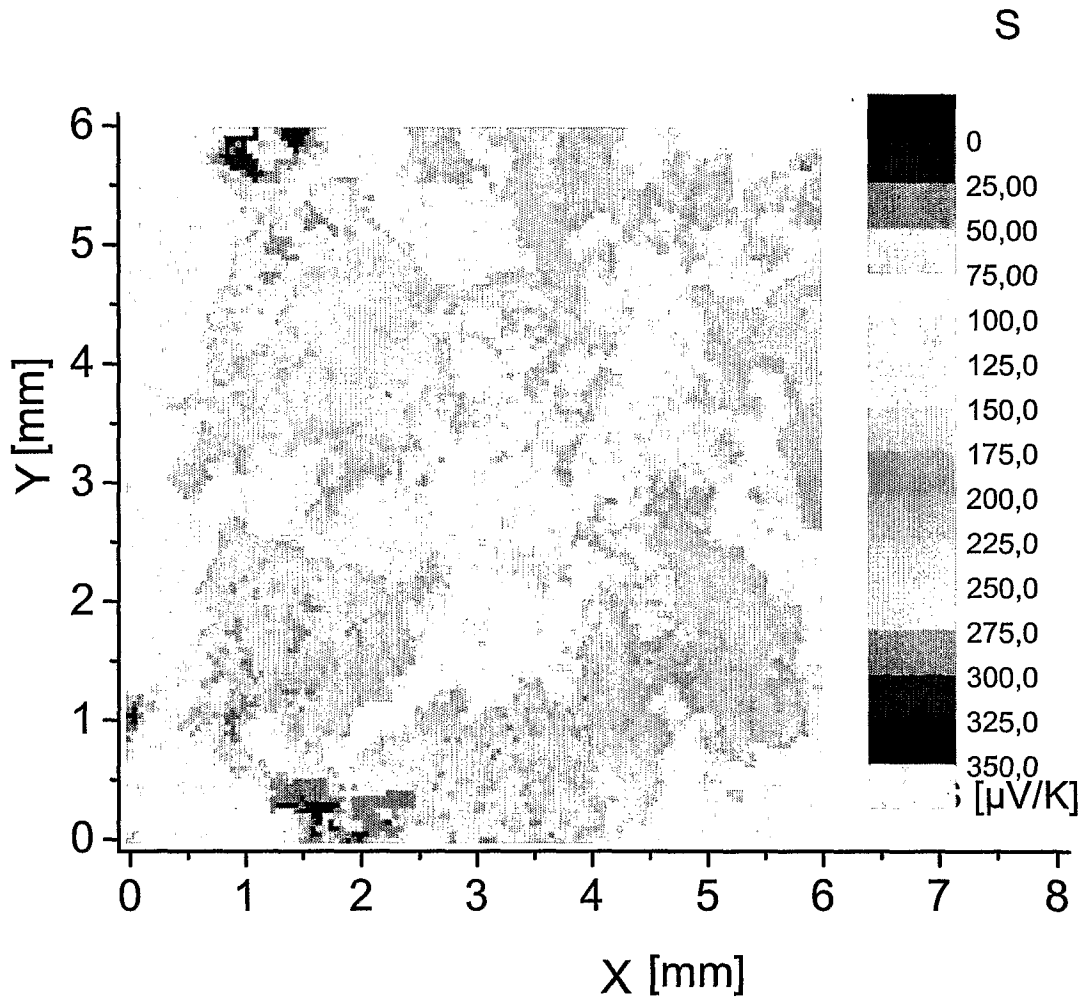


Fig. 3A



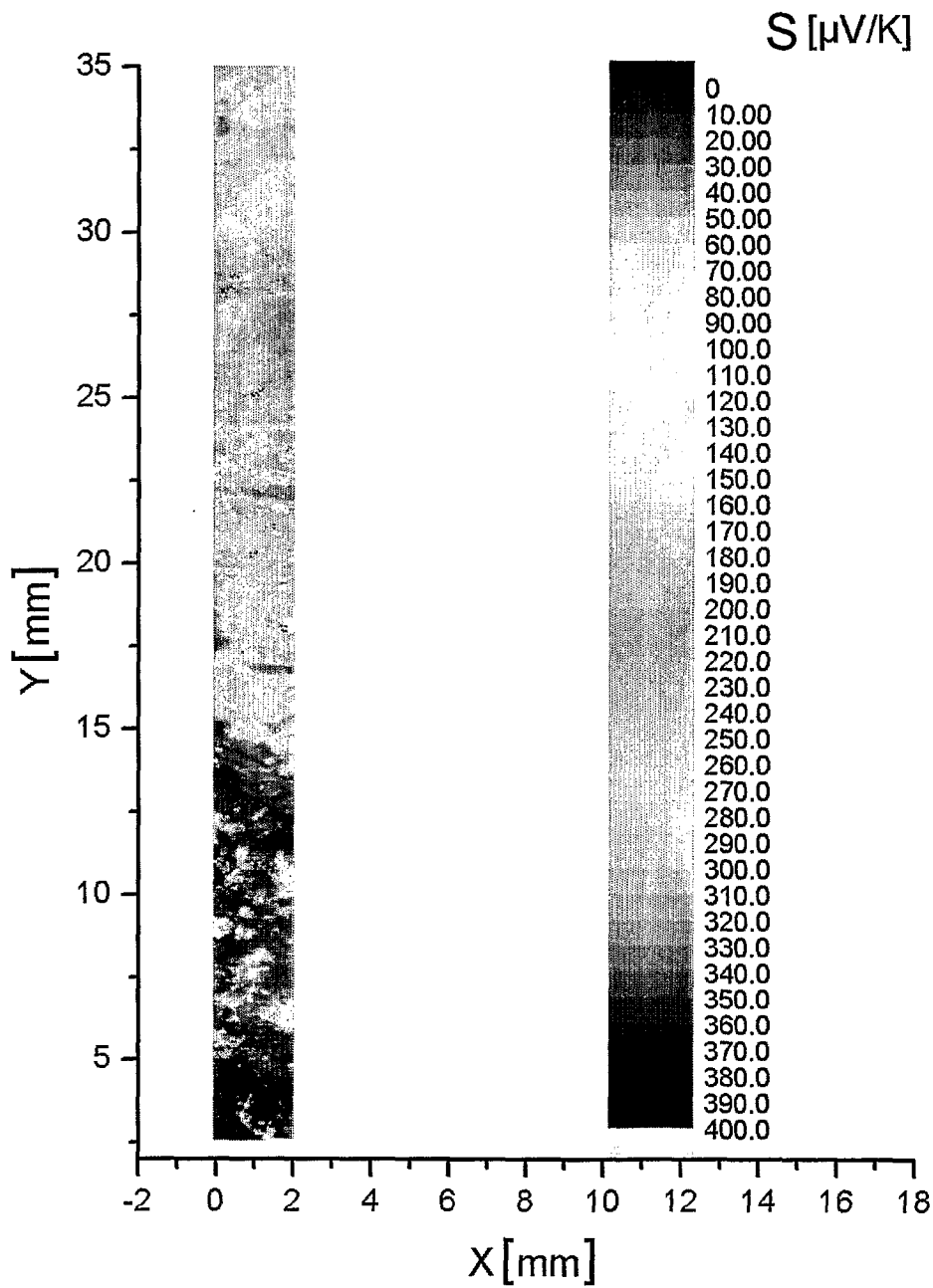
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Fig. 3B



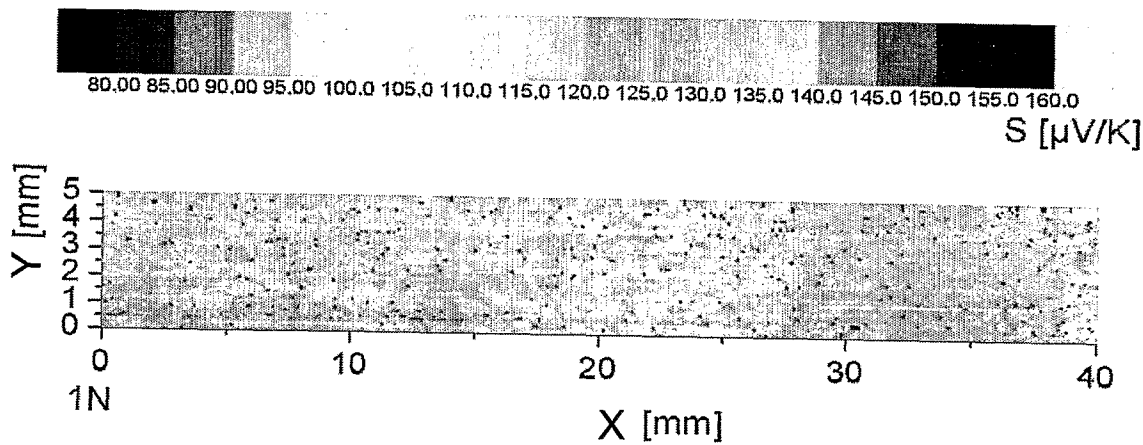
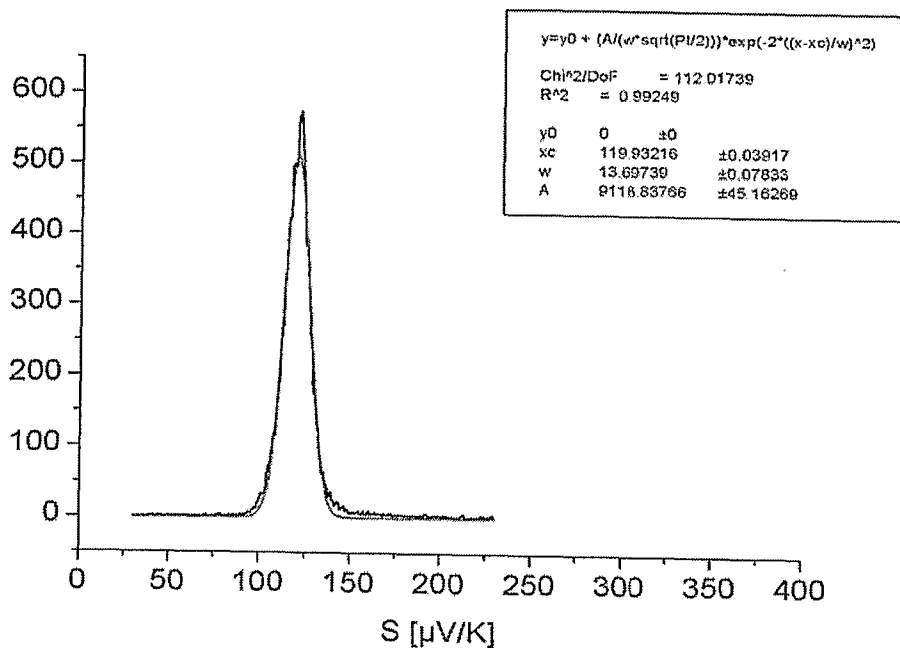
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Fig. 4



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Fig. 5



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Fig. 6

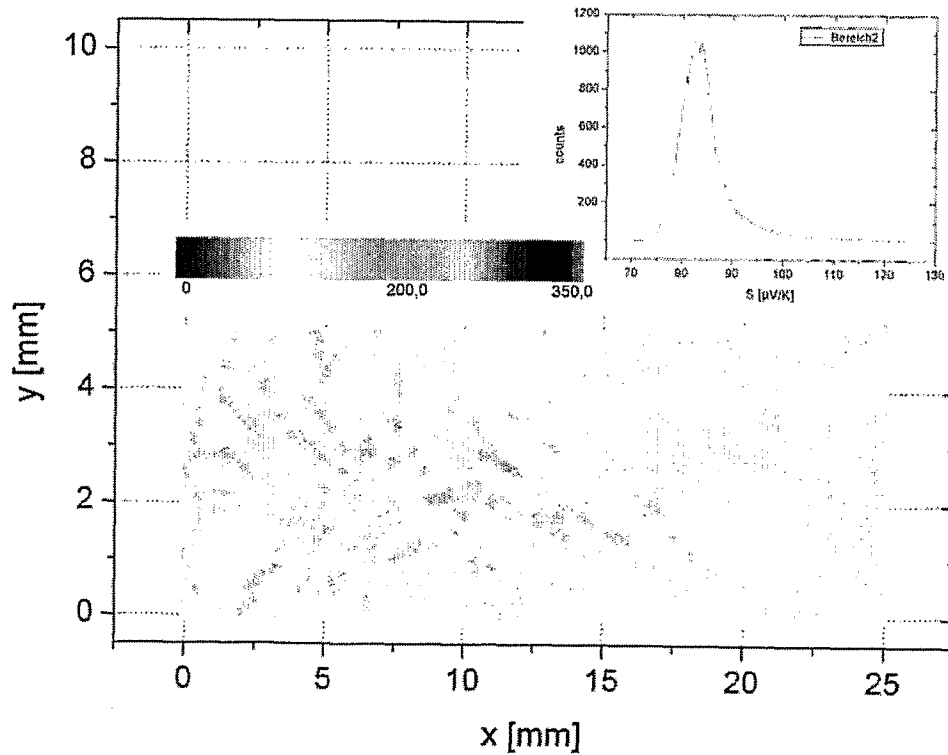
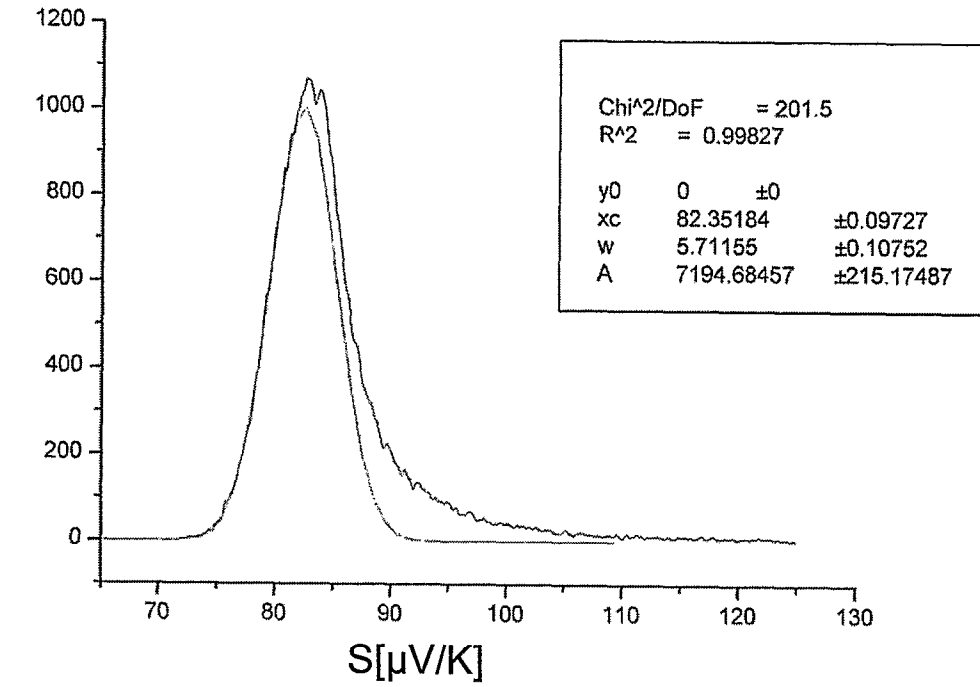
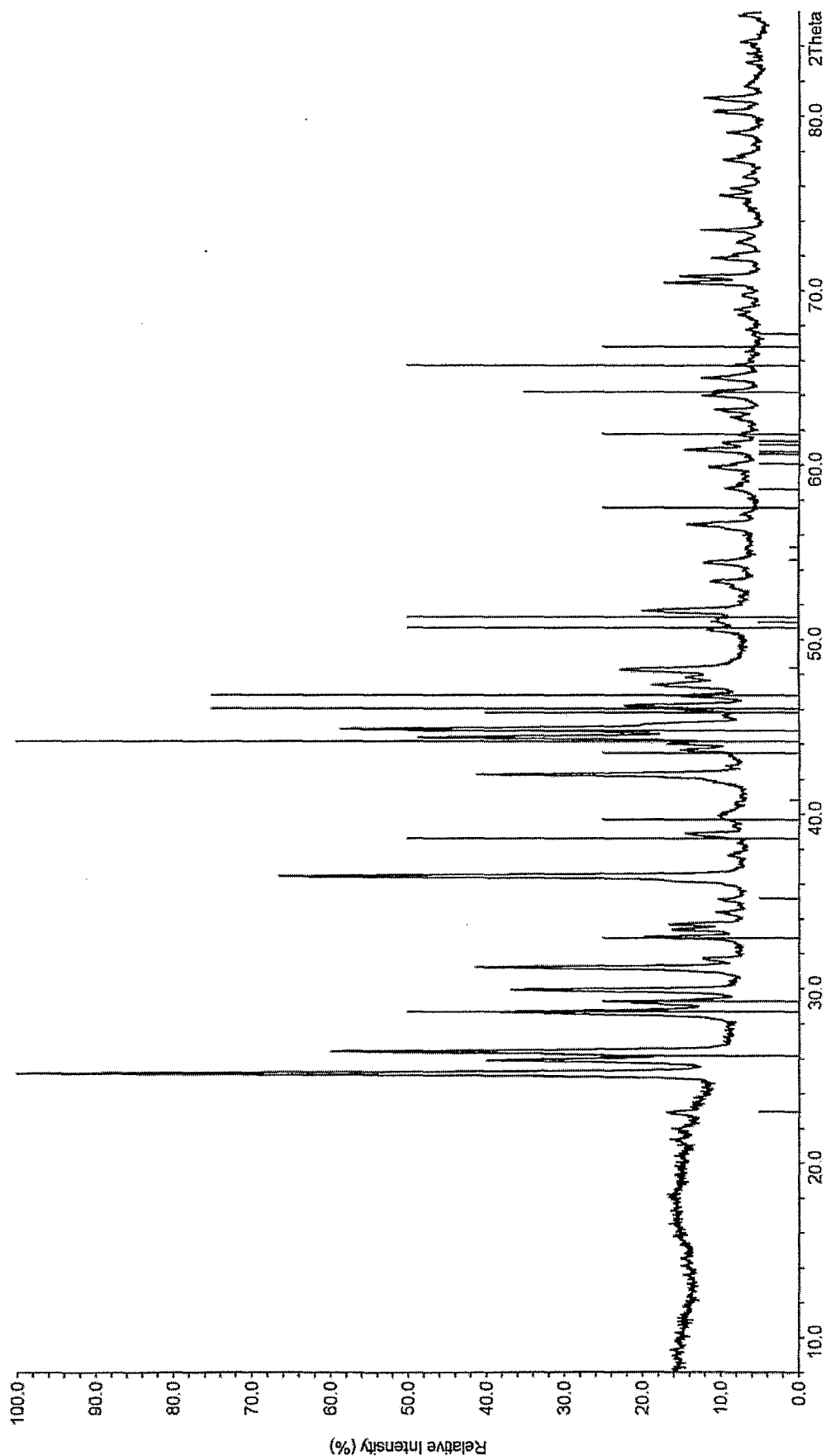


Fig. 7



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Fig. 9

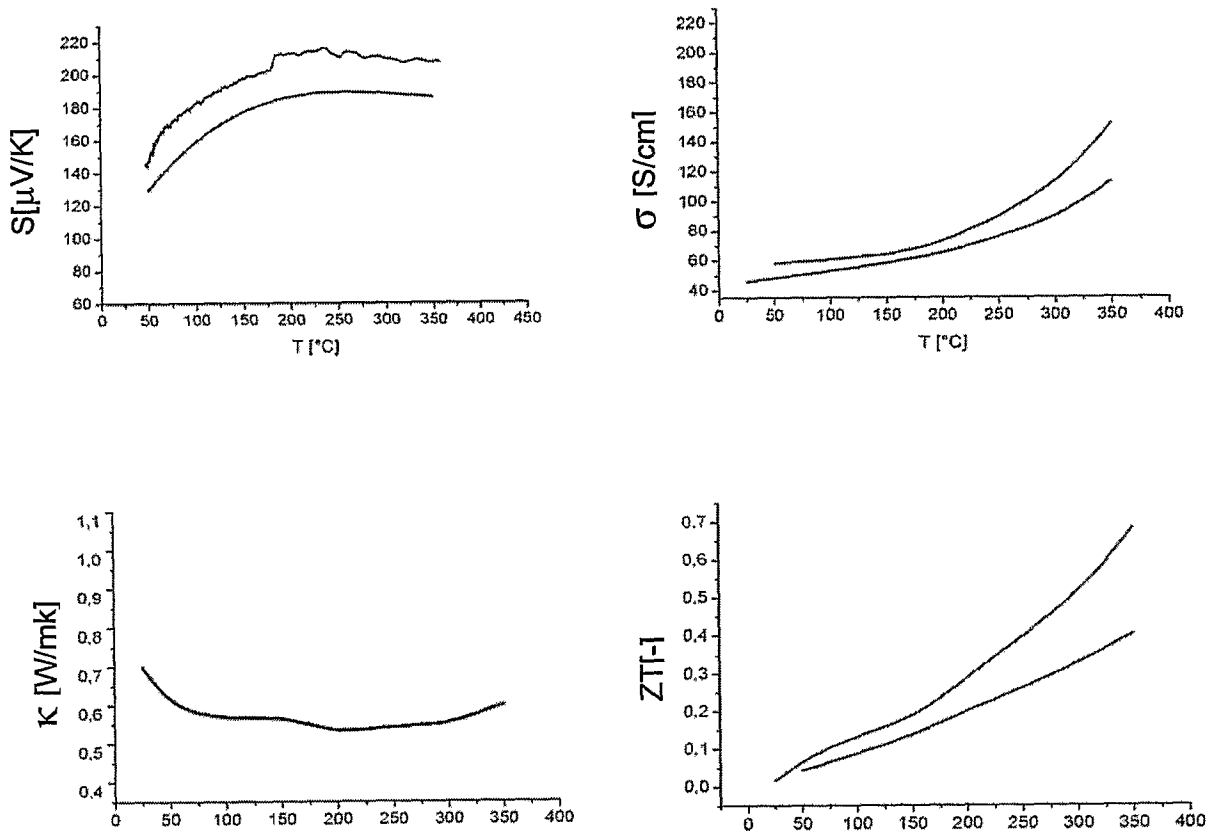


Fig. 10

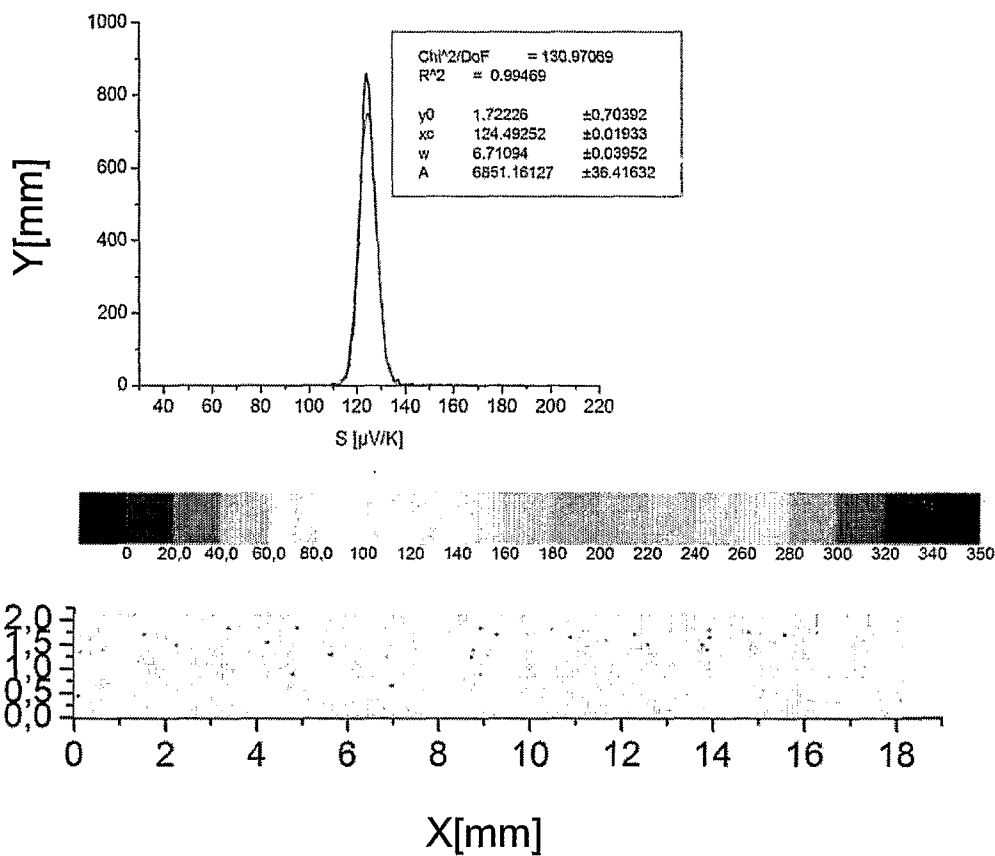


Fig. 11

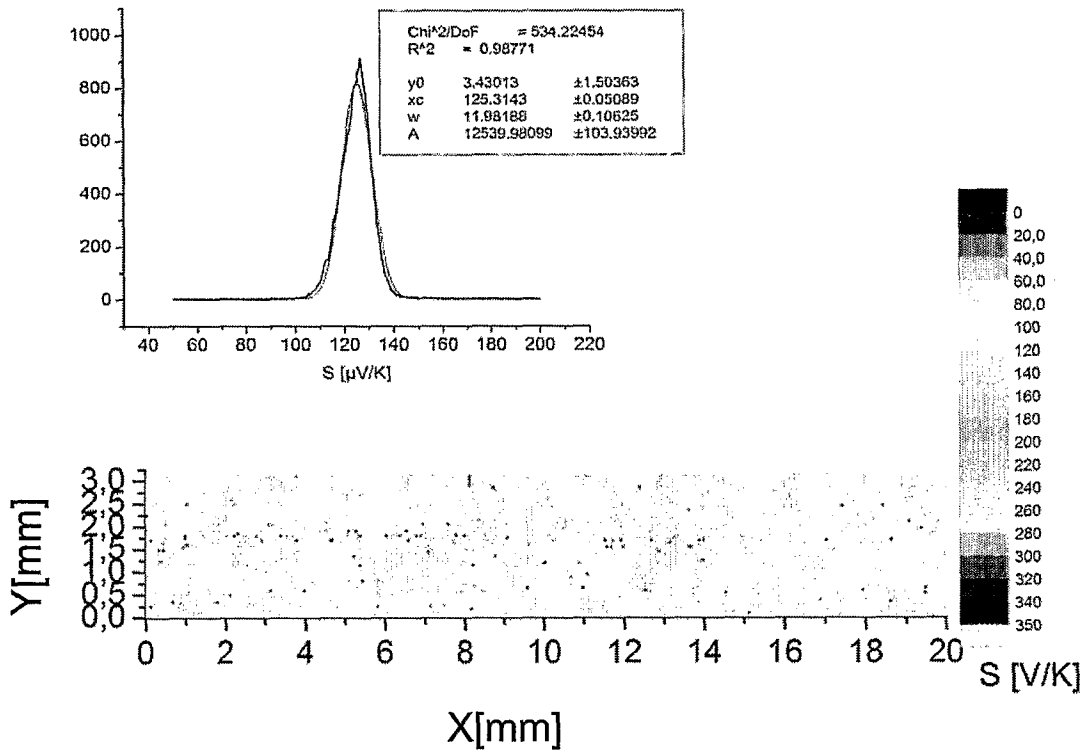


Fig. 12

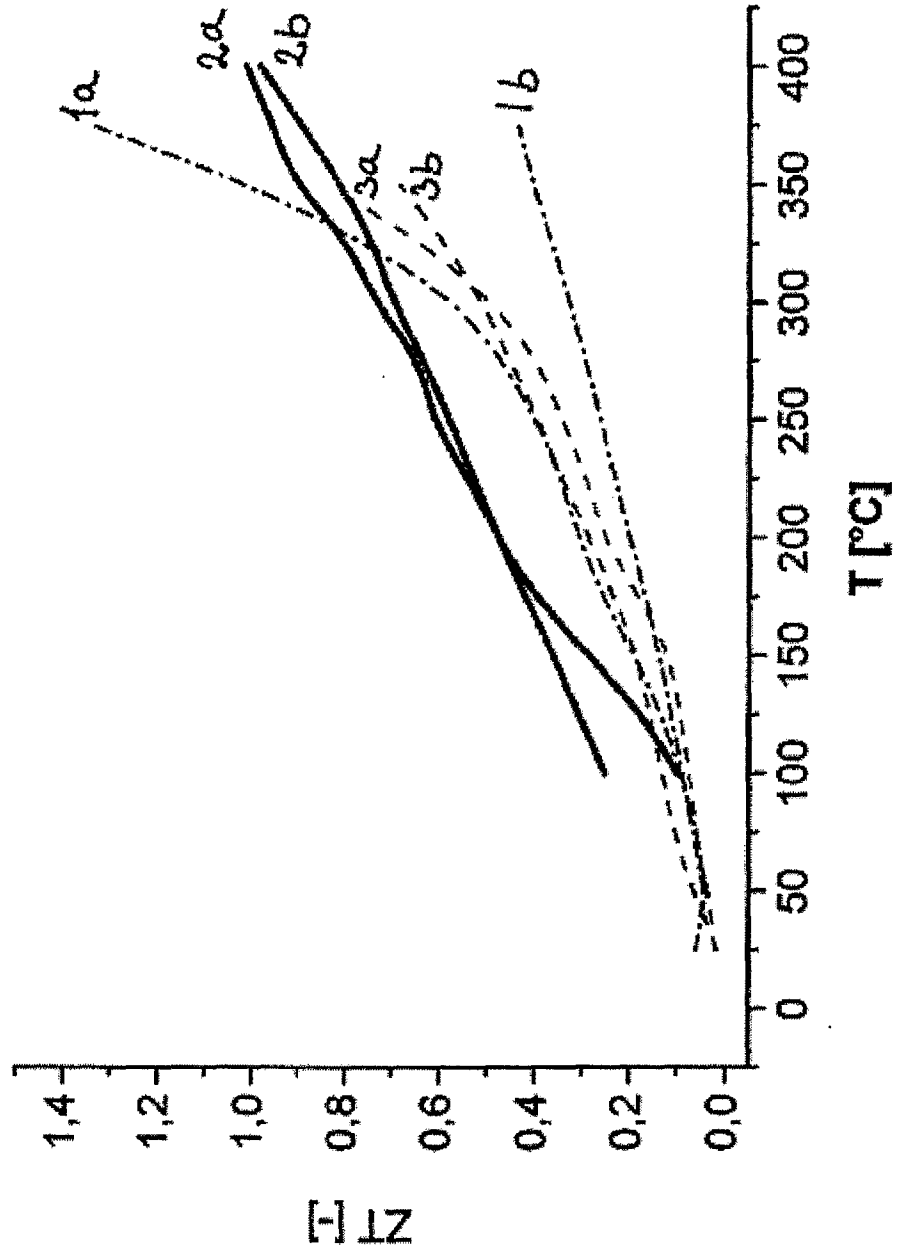


Fig. 13

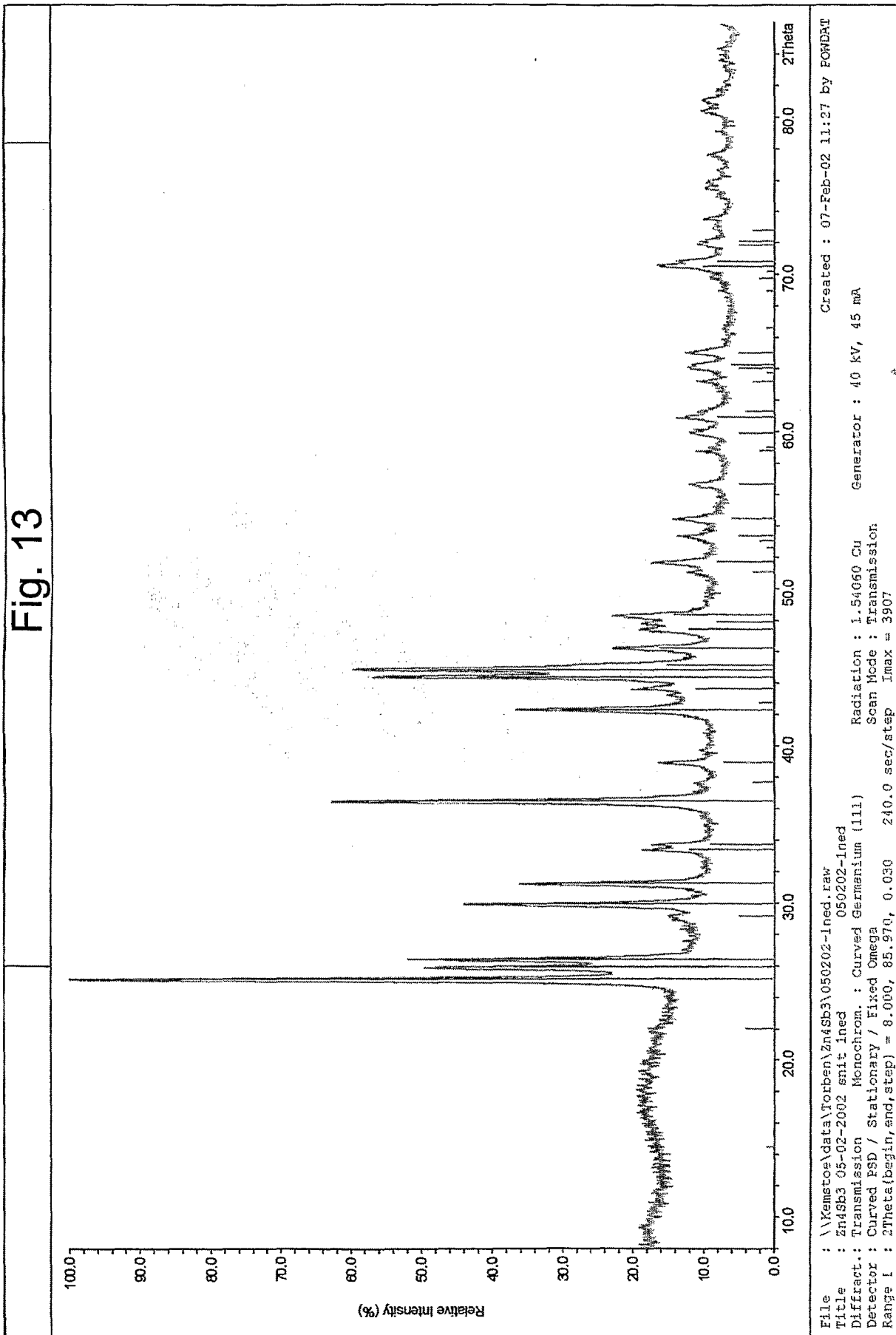
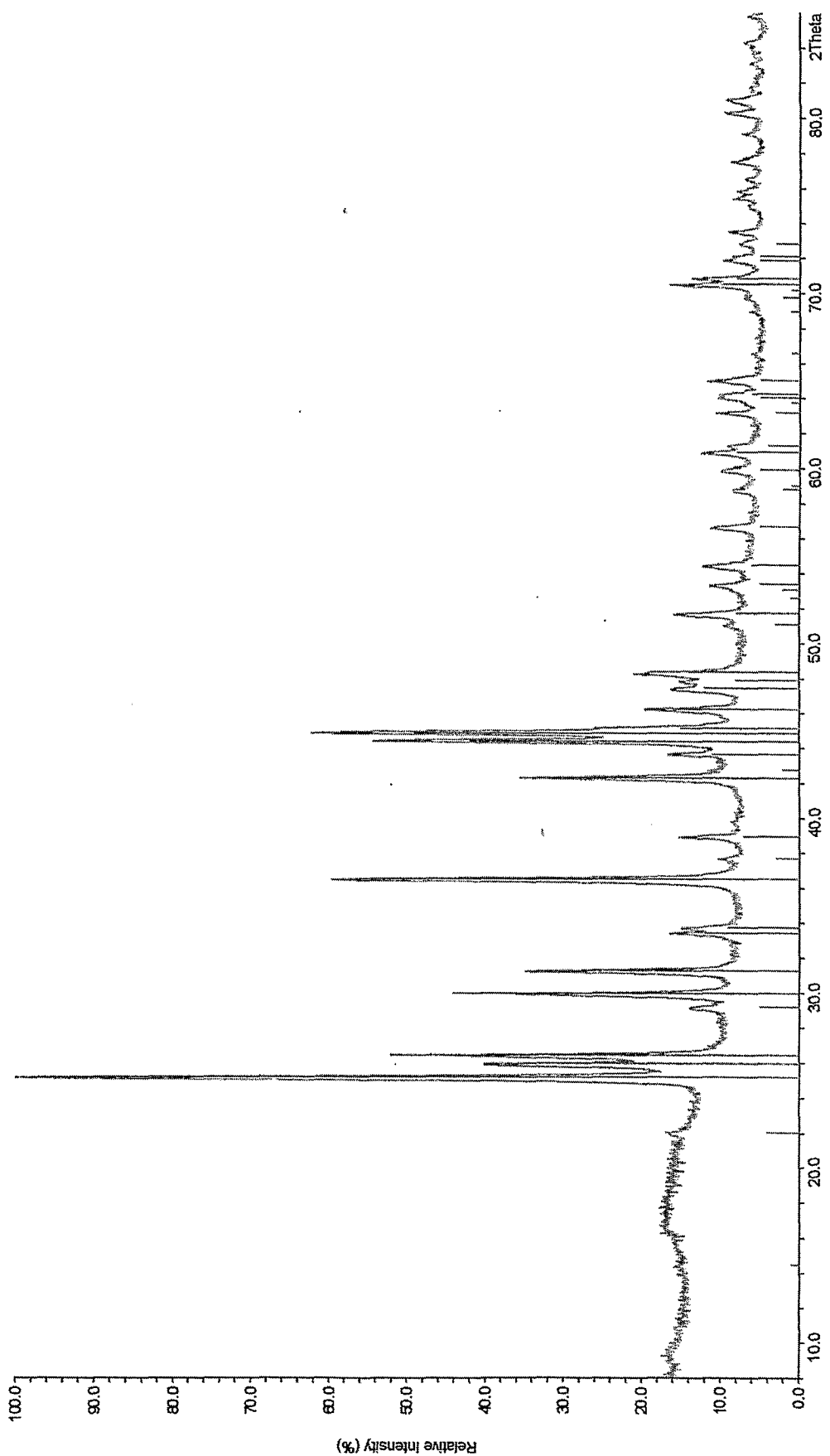


Fig. 14



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Fig. 15

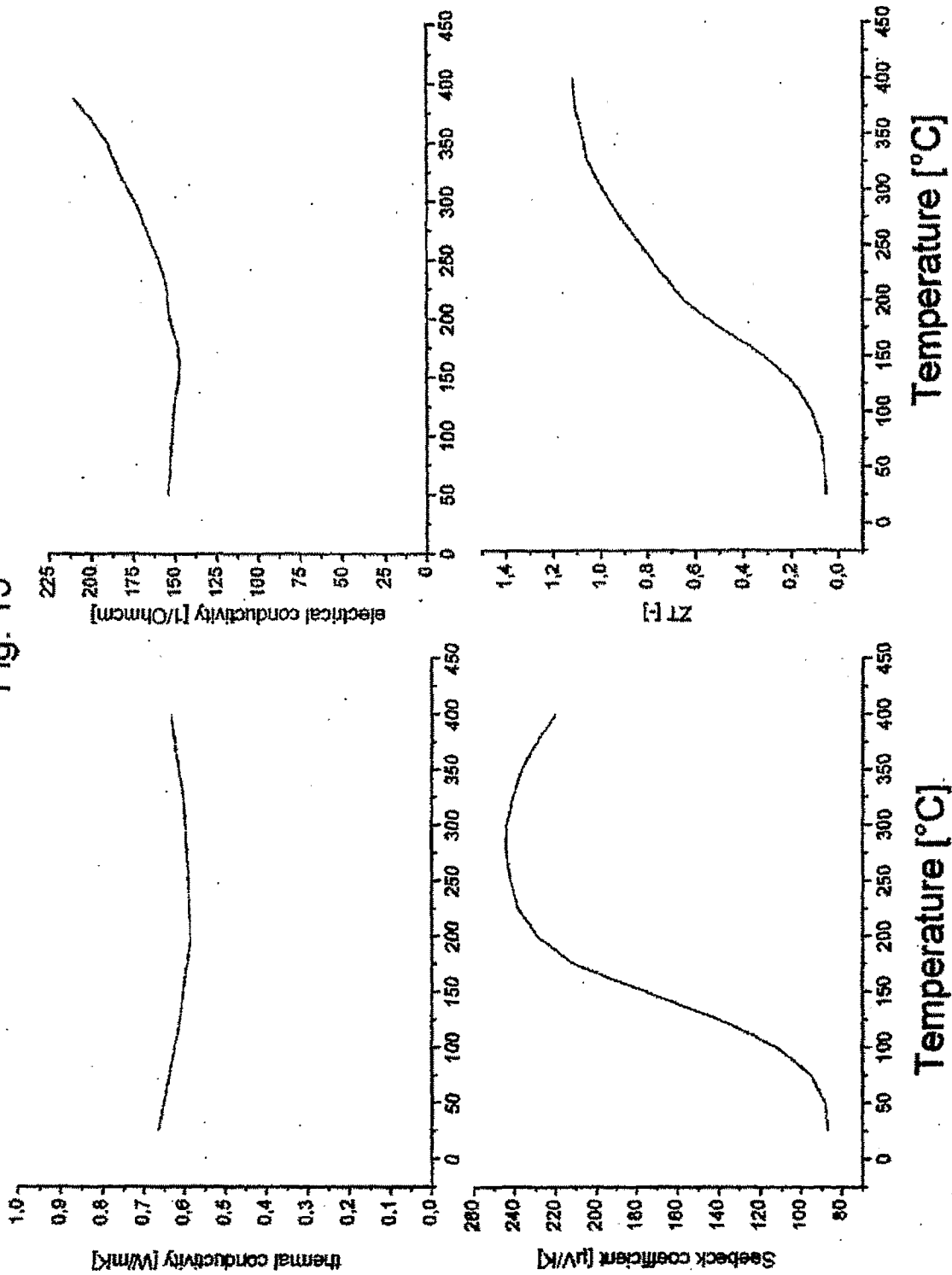
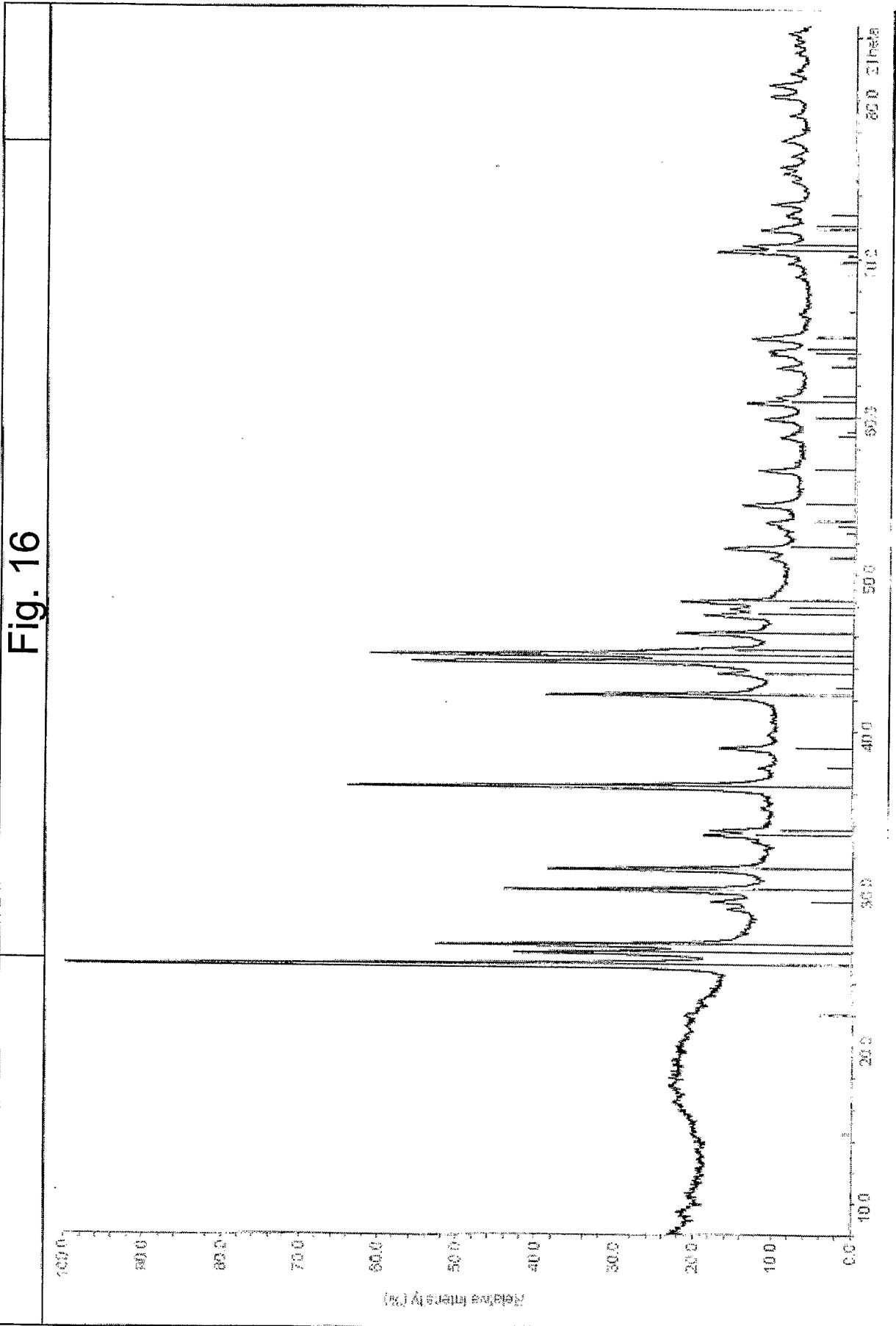


Fig. 16



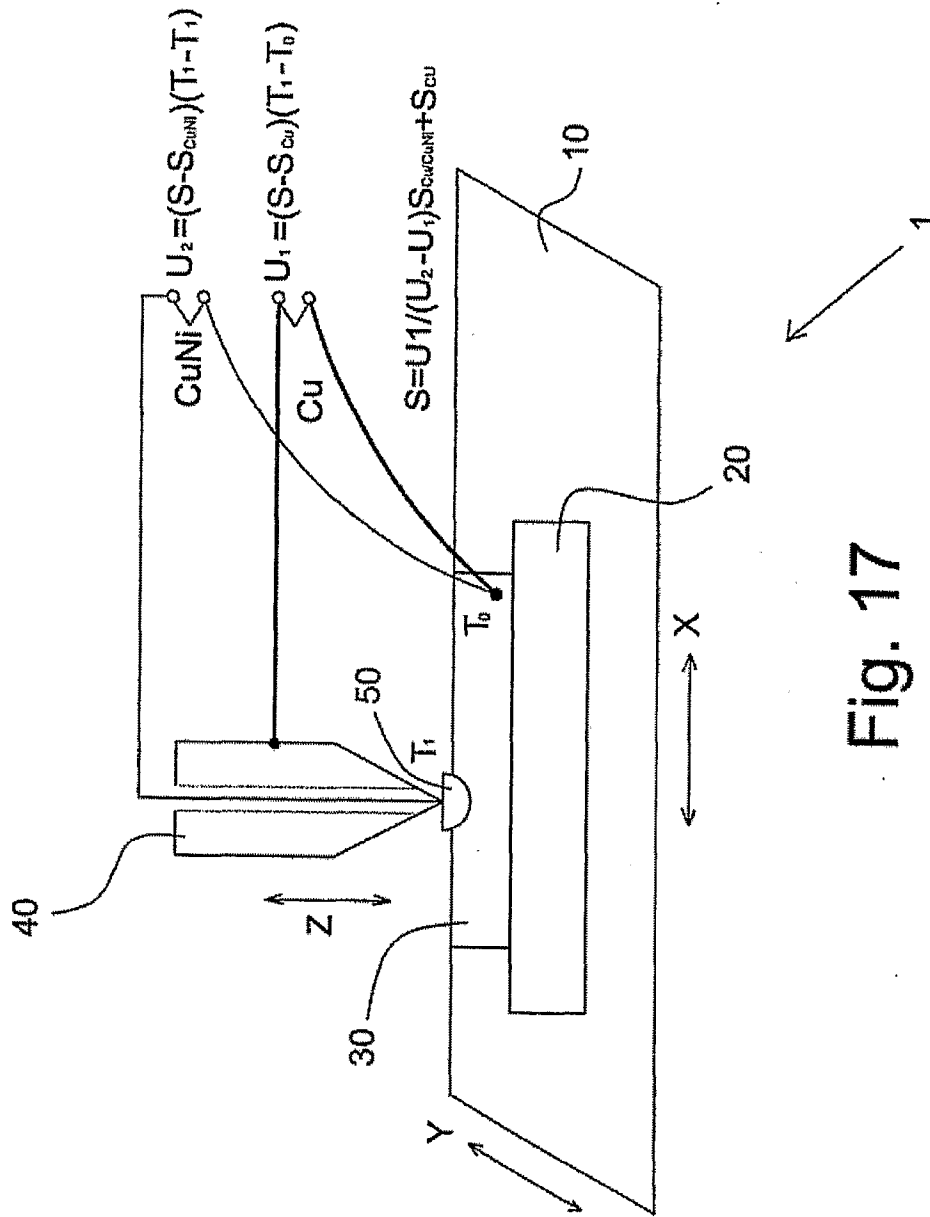


Fig. 17

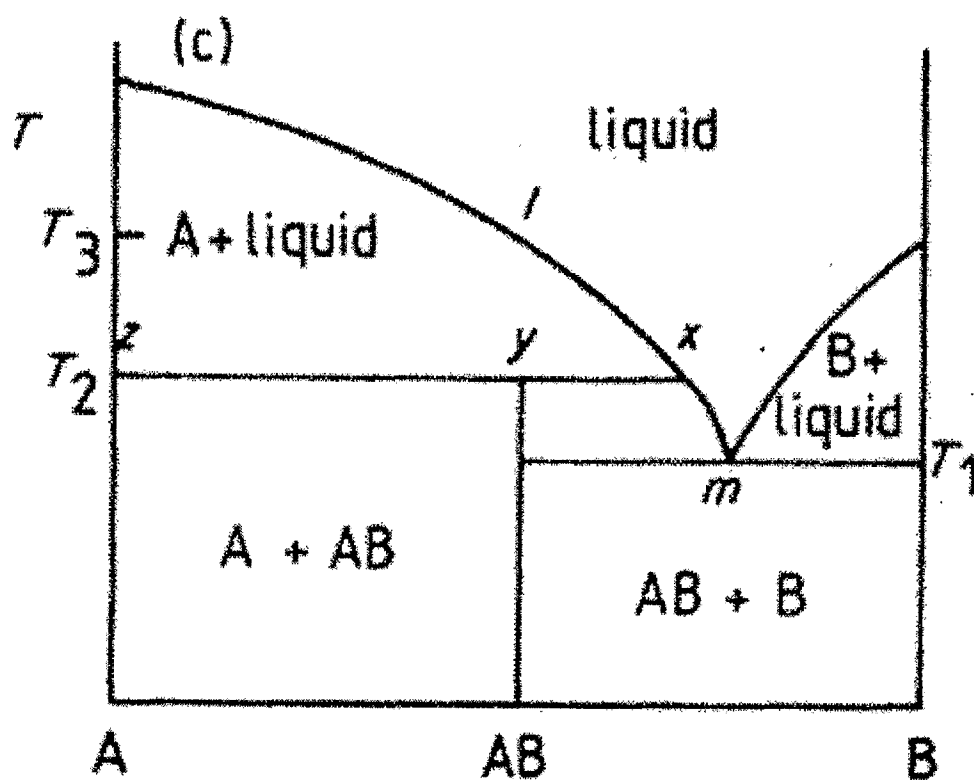


Fig. 18

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
7 December 2006 (07.12.2006)

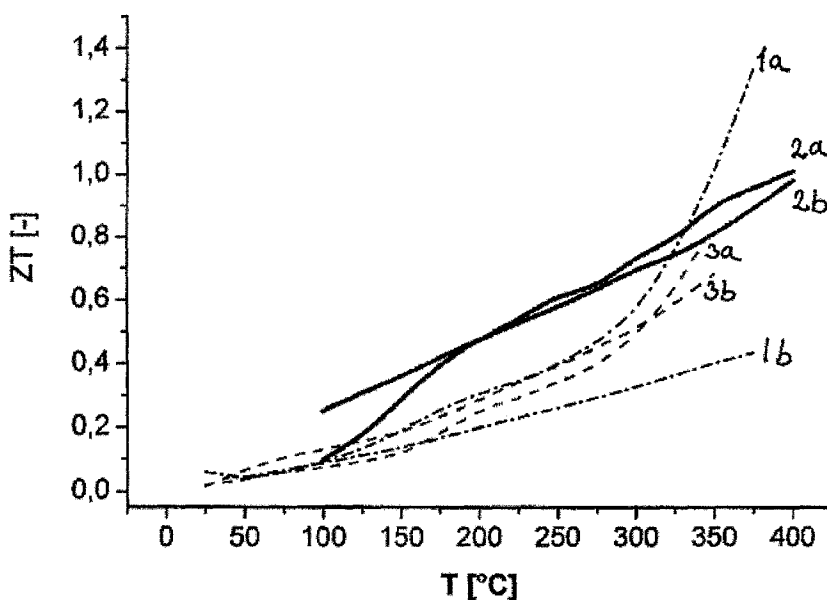
PCT

(10) International Publication Number
WO 2006/128467 A3

- (51) International Patent Classification:
C22C 12/00 (2006.01) H01L 35/18 (2006.01)
- (21) International Application Number:
PCT/DK2006/000305
- (22) International Filing Date: 31 May 2006 (31.05.2006)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
05011707.6 31 May 2005 (31.05.2005) EP
60/686,240 1 June 2005 (01.06.2005) US
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- (72) Inventors; and
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- (74) Agent: PATRADE A/S; Fredens Torv 3A, DK-8000 Aarhus C (DK).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

[Continued on next page]

(54) Title: IMPROVED P-TYPE THERMOELECTRIC MATERIALS, A PROCESS FOR THEIR MANUFACTURE AND USES THEREOF



(57) Abstract: A thermoelectric material of the p-type having the stoichiometric formula Zn_4Sb_3 , wherein part of the Zn atoms optionally being substituted by one or more elements selected from the group comprising Sn, Mg, Pb and the transition metals in a total amount of 20 mol% or less in relation to the Zn atoms is provided by a process involving zone-melting of an arrangement comprising an interphase between a "stoichiometric" material having the desired composition and a "non-stoichiometric" material having a composition deviating from the desired composition. The thermoelectric materials obtained exhibit excellent figure of merits.

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European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- *with international search report*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

(88) Date of publication of the international search report:
19 July 2007

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A. CLASSIFICATION OF SUBJECT MATTER

INV. C22C12/00 H01L35/18

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C22C H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, COMPENDEX, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 458 319 B1 (CAILLAT THIERRY ET AL) 1 October 2002 (2002-10-01) cited in the application column 7, lines 1-62; claims 1-11 -----	1-10, 13-26, 31-35
X	CAILLAT T ET AL: "PREPARATION AND THERMOELECTRIC PROPERTIES OF SEMICONDUCTING ZN4SB3" JOURNAL OF PHYSICS AND CHEMISTRY OF SOLIDS, PERGAMON PRESS, LONDON, GB, vol. 58, no. 7, July 1997 (1997-07), pages 1119-1125, XP002335852 ISSN: 0022-3697 page 1120; figures 1-7 ----- -/--	1-10, 13-26, 31-35

 Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

1 August 2006

Date of mailing of the international search report

29/05/2007

Name and mailing address of the ISA/

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Authorized officer

Catana, Cosmin

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	UGAI Y A ET AL: "PREPARATION OF SINGLE CRYSTALS OF SEMICONDUCTING PHASES IN THE ZN-SB SYSTEM" RUSSIAN PHYSICS JOURNAL, CONSULTANTS BUREAU, NEW YORK, NY, no. 3, 1965, pages 86-89, XP009050475 ISSN: 1064-8887 figures 5-10	1-10, 13-26, 31-35
A	KOYANAGI T ET AL: "Thermoelectric properties of /spl beta/-Zn4Sb3 doped with Sn" THERMOELECTRICS, 1997. PROCEEDINGS ICT '97. XVI INTERNATIONAL CONFERENCE ON DRESDEN, GERMANY 26-29 AUG. 1997, NEW YORK, NY, USA, IEEE, US, 26 August 1997 (1997-08-26), pages 463-466, XP010275131 ISBN: 0-7803-4057-4 abstract; figures 1-6	1-10, 13-26, 31-35
A	TAPIERO M ET AL: "PREPARATION AND CHARACTERIZATION OF ZN4SB3" SOLAR ENERGY MATERIALS, NORTH-HOLLAND, AMSTERDAM, NL, vol. 12, no. 4, 1985, pages 257-274, XP002335851 ISSN: 0165-1633 abstract	1-10, 13-26, 31-35
A	PSAREV V I ET AL: "ELECTRICAL PROPERTIES OF DOPED SINGLE CRYSTALS OF THE COMPOUND ZN4SB3" IZVESTIA VYSSIH UCEBNYH ZAVEDENIJ. FIZIKA, IZDATEL'STVO TOMSKOGO UNIVERSITETA, TOMSK, RU, no. 2, 1967, pages 34-38, XP009050478 ISSN: 0021-3411 tables 1,2	1-10, 13-26, 31-35
A	WO 02/089224 A (CALIFORNIA INSTITUTE OF TECHNOLOGY; CAILLAT, THIERRY; ZOLTAN, ANDREW;) 7 November 2002 (2002-11-07) abstract; figures 1-5	1-10, 13-26, 31-35

INTERNATIONAL SEARCH REPORT

International application No.
PCT/DK2006/000305

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

see annex

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-10,13-26, 31-35

Thermoelectric p-type material thermal stable, ZT (figure of merit) higher than 0.5 at 350degC with stoichiometric formula Zn_4Sb_3 optionally doped 20% or less with Sn, Mg, Pb, transitional metals in rel. to Zn; made by conventional or zone melting refining method using stoichiometric and non-stoichiometric Zn-Sb input materials; use, thermocouples made of these materials.

2. claims: 11-26,31-35

Thermoelectric p-type material with stoichiometric formula Zn_4Sb_3 (optionally) doped 20% or less with Mg and/or Pb in rel. to Zn; made by conventional or zone melting refining method using stoichiometric and non-stoichiometric Zn-Sb input materials; use, thermocouples made of these materials.

3. claims: 27-29,31-35

Method/process of phase purification (i.e. zone melting) of a thermoelectric p-type material; use, thermocouples made of purified materials.

4. claims: 27,28,30-35

Method/process of phase purification (i.e. zone melting) of a thermoelectric n-type material; use, thermocouples made of purified materials.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/DK2006/000305

Patent document cited in search report	Publication date	Patent family member(s)	Publication date	
US 6458319	B1	01-10-2002	US 2003066476 A1	10-04-2003
WO 02089224	A	07-11-2002	NONE	